

Total Membership 25
The Forum is quorate if at least 40% (10) of the members are present

London Borough of Waltham Forest SCHOOLS FORUM

Day/Date/Time	Venue
Wednesday 13 November 2019 Tea/Coffee and Light Refreshments: 5:00pm Main Meeting: 5:30pm	Norlington School and 6th Form Norlington Road, Leyton, London, E10 6JZ (Parking Access via Pretoria Road)
Contact:	Telephone / Email:
Clerk to Schools Forum	schoolforum@walthamforest.gov.uk
Maintained Primary Headteacher Representatives (5)	
Kathryn Soulard	Greenleaf Primary School
Tracey Griffiths	Barncroft Primary School
Lindsey Lampard	Chingford C of E Primary
Linda Adair	Henry Maynard Primary School and Nursery
Ruth Boon	St Joseph's Infants
Primary Academies and Primary Free Schools Representatives (4)	
Amanda Daoud	Lime Trust Larkwood
Anne Powell	Riverley Primary
Maureen Okoye (Chair)	Davies Lane Primary Academy & Selwyn Primary
VACANT	
Maintained Primary Governor Representatives (1)	
Aktar Beg	Edinburgh Primary
Nursery School Representative (1)	
Helen Currie	Forest Alliance Nursery Schools
Maintained Secondary Headteacher Representatives (2)	
Clive Rosewell	Willowfield School
Jenny Smith	Frederick Bremer
Secondary Academies and Secondary Free Schools Representatives (4)	
Tracey Penfold	Highams Park
John Hernandez	Norlington School and Sixth Form
Rob Pittard	Norlington School and Sixth Form
Jane Benton	Chingford and South Chingford Foundation
Maintained Secondary Governor Representative (1)	
Gillian Barker	Walthamstow School for Girls
Special School and Special Academies Representative (1)	
Gary Pocock	Lime Trust Hornbeam
PRU (1)	
Catherine Davis	Hawkswood Group
Non School Members (4)	
Early Years Providers	Sarah Kendrick (Redwood Pre-School)
16-19 Providers	Joy Kettle (Waltham Forest College)
Trade Unions	Steve White (NEU)
Diocesan	Andy Stone (Holy Family)

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AGENDA

Agenda Item	Report Name	Report Authors
1.	Welcome all and Apologies.	Chair
2.	Declarations of Interest	All
3.	Minutes of the Meeting held on 16 October 2019 and Matters Arising	Chair
3a	Decision Sheet from Meeting 16 October 2019	For the record
4.	Local Funding Formula 2020-21	Duncan James-Pike Jerome Francis
5.	Growth Fund and Falling Rolls Fund	Duncan James-Pike Jerome Francis
6.	High Needs Block Update 2019-20, 2020-21 & Disapplication request	Raina Turner David Kilgallon
7.	Date of Next Meeting: Wednesday 11 December 2019 5:30pm (Light refreshments from 5:00pm) Norlington School and 6th Form Norlington Road, Leyton, London, E10 6JZ	

MINUTES OF SCHOOLS FORUM MEETING
 Wednesday 16 October 2019
Council Chambers, Waltham Forest Town Hall
 5:30 – 6:15pm

ATTENDEES	
Jelise Caruth	Clerk to Schools Forum meetingsandevents@walthamforest.gov.uk
Maintained Primary Headteacher Representatives (5)	
Kathryn Soulard	Greenleaf Primary School
Tracey Griffiths	Barncroft Primary School
Lindsey Lampard	Chingford C of E Primary
Linda Adair	Henry Maynard Primary School and Nursery (Not Present)
Ruth Boon	St Joseph's Infants
Primary Academies and Primary Free Schools Representatives (4)	
Amanda Daoud	Lime Trust Larkwood
Anne Powell	Riverley Primary (Not Present)
Maureen Okoye (Chair)	Davies Lane Primary Academy & Selwyn Primary
VACANT (previously Lynne Harrowell)	
Maintained Primary Governor Representatives (1)	
Aktar Beg	Edinburgh Primary
Nursery School Representative (1)	
Helen Currie	Forest Alliance Nursery Schools (Not Present)
Maintained Secondary Headteacher Representatives (2)	
Clive Rosewell	Willowfield School
Jenny Smith	Frederick Bremer
Secondary Academies and Secondary Free School Representatives (3)	
Tracey Penfold	Highams Park
John Hernandez	Norlington School and Sixth Form
Rob Pittard	Norlington School and Sixth Form
Jane Benton	Chingford and South Chingford Foundation
Maintained Secondary Governor Representative (1)	
Gillian Barker	Walthamstow School for Girls
Special School and Special Academies Representative (1)	
Gary Pocock	Lime Trust Hornbeam

PRU (1)	
Bridget Solecka rep: Catherine Davis	Hawkswood Group
Non-School Representatives (4)	
Early Years Providers	Sarah Kendrick (Redwood Pre-School) (Not Present)
16-19 Providers	Joy Kettle (Waltham Forest College) (Not Present)
Trade Unions	Steve White (NEU) (Not Present)
Diocesan	Andy Stone (Holy Family) (Not Present)
LBWF Officers	
Duncan James-Pike	Strategic Finance Advisor – Children and Young People Services
Raina Turner	Head of Finance Families Group (Schools and Education Services)
Jerome Francis	LBWF
Mohammad Akhtar	Principal Accountant
Lindsay Jackson	Head of Education Business Effectiveness
Eve McLoughlin	Head of Early Years Childcare & Business Development
Observers	
Heather Flinders	Strategic Director of Families
Shermaine Lewis	SBM, Frederick Bremer School
Graham Jackson	SBM, Willowfield School
Apologies	
Helen Currie	Forest Alliance Nursery Schools (Not Present)
Linda Adair	Henry Maynard Primary School and Nursery (Not Present)
Andy Stone	Holy Family Diocesan
Clive Rosewell	Willowfield School
Gary Pocock	Lime Trust Hornbeam
Elaine Colquhoun	Whitefield Academy Trust

1. **Welcome and Apologies**

The Chair welcomed and thanked all present for attending this meeting. Apologies were given for the late papers. The reason for this was explained as additional information was requested which led to the delay. The Chair thanked the Strategic Finance Advisor for his work. The forum reviewed the vacancies and noted:

- One vacancy for Primary Academies.
- Andy Stone is replacing Moira Bishop as the new representative for Brentwood Diocese.
- Tracey Griffith was named as the new Primary Headteacher representative.

2. **Declaration of Interest**

There were no declarations of interest noted in relation to the agenda items.

3. **Minutes of the Meeting held on 18 September and Matters Arising.**

The minutes were reviewed and approved as an accurate reflection of the meeting.

Matters Arising

There were no matters arising.

3a **Decision Sheet from Meeting 18 September 2018**

There were no comments.

4. **Local Funding Formula 2020-21**

Early modelling around budget for 2020-21. The appendices set out illustrations of changes to the local funding formula. The first three use the 2019-20 Authority Pro Forma Tool (APT) the starting point of model A is the budget for 2019-20 as we've set them. The other models use the new tool for 2020-21. The reason for using the new tool for 2020-21 is the old tool only allowed us to go up to 0.5% for the Minimum funding guarantee (MFG) and the range we had to play with through the current year was -1.5% to 0.5%. In the new year the new range is from 0.5% – 1.84%.

Officers wanted to get some steer as to the things that the forum might want to see in November when preferences will be confirmed for consultation.

One of the decisions Schools Forum make is on the level for MFG, which will be thought about at this meeting. The forum is also to think about any other further modelling desired. For example, last year the decision to move money from the Free School Money factor into Low Prior Attainment was a step toward aligning to the National Funding Formula (NFF). There are some NFF comparisons for the Schools Forum to review at this meeting. The Forum may want to adopt the NFF or rather to shadow the NFF but doing this would mean there would be significant shifts in funding or the Schools Forum may decide that with all that is taking place they would rather leave the formula alone.

The key is for 2020-21 we'll be expecting a global uplift in the region of 1.84% which is thought to be the reason for the upper MFG level. This will give roughly £3.5 million into the system raising the schools block from just under £199 million to just over £202 million. Although a relatively small increase it is welcomed allowing for more flexibility.

On page 14 the table summarises the different models.

Model A is the current situation for this year at 0% MFG with no uplift and a scale of 17.4%. The scale is one of the balancing levers for the MFG, one of the ways we pay for the cost of protection for the MFG is by scaling back gains.

The purpose of Model B is to show the comparison in the instance of using a 0.5% MFG rather than 0%.

Model C shows what this year would like if we had a 0.5% MFG and inflationary uplift.

In models D, E and F the shaded areas are to show the uplift has been put in to each one and then different ranges of MFG are used that were allowable for 2020-21 from 0.5%, 1% and then 1.84% allowing the scaling to be seen each time the MFG is raised.

Appendix 1

Different models have been set out showing results in global terms for full budget and in post MFG per pupil budget across each of the models. There is a difference between Model C, which is using the old tool and model D, which is using the new tool because they have changes such as the Mobility factor incorporated into it having a distorting impact on the models. There is also impact on the models as we have two schools who are growing, one of the Academies and one of the Free schools, as they are using a slightly higher pupil number which we have tried to adjust for. There may be other factors that may influence the models but these are the two main factors that have changed the models.

Models A, B and C are our baselines and D, E and F are using the new tools. Schools Forum is to remember that in all these models we are still using October 2018 census data. This means that schools that may be protected under their MFG for changes in characteristics or declines in numbers this is still flowing through into 2020-21 projections. This is until we gain the new census data. Census data is due to be received Mid-December 2019 and then in January 2020 Schools Forum will see the result of the new formula allocations.

Appendix 3

Explains how the MFG works and how the headline figure of 1.84% increase doesn't translate necessarily into a 1.84% increase on your overall budget. The Schools Forum doesn't have a choice in how the MFG works as this is set down.

The left-hand side shows the various formula factors, so the Basic Entitlement or Age Weighted Pupil Unit (AWPU), Free school meals ever 6 (FSM6), the deprivation index (IDACI), English as an additional language (EAL), Low Prior Attainment,

Mobility, PFI and Split sites factors all go into the calculation factors for the MFG. Lump sum and rates do not go into this calculation.

A unit value is calculated by dividing the MFG budget by the number on roll. Which is comparative to a similar calculation from the prior year which is 2018-19. We then look if there is a change in that per pupil value, and if so, how great it is and then whether the MFG has an impact. The example of Frederick Bremer was looked at having 0% MFG in the first model, there is -1.41% between those two pupil numbers so we gave them an additional 1.41% making 0 resulting in 0% MFG, that feeds through as an adjustment into the post MFG budget.

So it's not the whole budget it's the subset of the budget and then they take a per pupil value, look at last years per pupil value and see how it has changed.

In the case of Frederick Bremmer, they were a recipient of MFG protection. Handsworth School was a contributor. Its original per pupil value was 3.3% but they then contributed 0.58% of its per pupil funding towards the cost of the MFG.

Looking at Norlington, Model D shows the 0.5% MFG plus inflationary uplift with the increase in it and model B with just 0.5%. applied the inflationary uplift on the factors such as Basic entitlement, FSM6, IDACI, EAL, Low Prior Attainment. It doesn't apply to mobility, PFI or Splits sites. Mobility is being funded by a different formula which now being brought into national funding formula of which Schools Forum are still awaiting the details for. PFI is indexed by RPIX, which is a separate calculation and Split sites were funded at what was sent last year, so no inflationary uplift. Having removed these three items, the subtotal of the budget has risen by only 1.79%. If you then exclude Lump sum and rates it decreases to 1.73%. Showing the headline figure of 1.84% isn't going through to the overall total. On this basis, Norlington's per pupil rate has still gone down by -2.33% it received protection of 2.83% which then leaves a total protection of 0.5%.

When we look at Norlington's post MFG budget £3.855 million is the same as it was before the inflationary uplift because of the impact of MFG. In this case it's not flowing through as a benefit to the school.

Though the government are issuing these headlines it doesn't necessarily come through as a benefit. If your being protected, you still are protected but not necessarily to a higher level.

If MFG is moved to 1% or 1.84% then the figure rises as protection is greater. In the case of Norlington this will increase from £3.855 million at 1% this then increases to £3.873 million then £3.904 million once you've got to the 1.84% MFG.

We looked at what the impact of changing the MFG in model D E and F and looked at whose gaining and losing and how much. At 0.5% with inflationary uplift, 40 gain less than £40,000, 23 gain between £40-100,000 and 5 gaining over £100,000 from the uplift. This is the same at the 1% mark and the highest rate that it can go this is then shifted more. The difference being that 6 less schools only gaining up to £40,000, 4 more gaining up to £100,000 and 2 more gaining over £100,000.

The Chair thanked the Strategic Finance Advisor and underlined the reason for delaying things as it was wanted to make it clearer for the Schools Forum in order to avoid drawn out debate making it clearer for all to see what's happening.

4.1. Comments, Questions & Responses

4.1.1 **Question:** Is this money that is going to feed through to us include all the additional funding that the Government has told us that the school are going to get?

4.1.2 **Response:** Yes

4.1.3 **Question:** will this include the money to cover the rising pensions to 2023 and up and the Teachers pay rise?

4.1.4 **Response:** Teacher pay rise is funded separately through the Teachers pay grant.

4.1.5 **Question:** It is this year, but will it be for 2020-21?

4.1.6 **Answer:** Yes, this is continuing, and we are expecting a Pensions grant as well from 2020-21. This is just the schools block.

4.1.7 **Question:** Split site funding was coming out of reserves that were dwindling, how is this going to be managed in terms of how we fund school who have split sites?

4.1.8 **Response:** £70,000 in 18-9 came out of reserves, the school appealed, and they fit the criteria that existed then. For 19-20 that was incorporated within the schools block so there was no further draw on reserves. This will be discussed in November's Schools Forum. If you want to set up a contingency for example, if you wanted to honour the original proposal to top slice something else from schools block into the high needs block then that would come off those totals. At the moment we are distributing the full £3.5 million across the system. If you want us to model the impact of those kind of reductions with a steer of how much then this can be done for the forum.

4.1.9 **Comment:** Primary Heads would appreciate this. Primary Heads would not want to see significant winners or loser in how the budget is distributed as this will have an impact especially on the schools who are losing out. Whatever is decided has to be clear and equitable for everybody.

4.1.10 **Comment:** Every year Schools Forum has looked out for smaller schools whether Secondary or Primary to make sure that the gap doesn't widen from the experience of St ' Infants.

4.1.11 Appendix 2

Looking at funding formula comparison the government have published details of the notional NFF allocations. LA's get an aggregate of this in order to change it. This is recent information. Looking at the difference on model F which is 1.84% uplift and 1.84% MFG which is so far looking like the most equitable distribution. It can be seen 10 schools will lose between £30,000-21,000, 17 lose between £4,000-20,000. For quite a few schools introduced to the NFF formula does not create a big impact or churn but what is noted that there are massive outliers such as Woodside and

Hillyfield. These two schools have the highest level of Low Prior attainment, its not certain how this has been calculated. The reasons for this will be calculated soon in order to bring the Schools Forum a clearer explanation. Whether this would be fair to move £800,000 into two schools and then take money from the rest is a different matter. Currently, the Schools Forum is not sounding keen for this.

- 4.1.12 **Question:** NFF has been delayed for a few years now, have we had confirmation on when we are going to apply it?
- 4.1.13 **Response:** No. There is just the intention to move towards a hard formula but they haven't said when.
- 4.1.14 **Comment:** Concern around the amount given for one school is almost equitable to what a small school would receive and this is an addition. Its not certain how we can justify this.
- 4.1.15 **Question:** Before any decisions are made, is it possible that it can be looked into it further to see why the two schools are outliers. This is important for the disparity, to ensure we are not taking from other schools to top load individual schools when maybe they could be doing more and to justify where the money is goes.
- 4.1.16 **Response:** When the calculations are received to understand these figures, we couldn't use the APT to replicate figures but we can shadow it once the figures are understood. So we will be able to bring you the results of that and then replicate and show the local impact and why it's the local impact. We know that the NFF puts less money for Basic entitlement, it puts more money into Low prior Attainment and more money into IDACI than we do in the Local Funding Formula (LFF) so you would expect schools that are larger have less deprivation and have less Low prior Attainment in there intake will do at the NFF than the reverse so smaller schools who are more deprived will probably do better as these are the main features where the LFF differs from the NFF.
- 4.1.17 **Comment:** It should be kept in mind that there is a large vacancy rate, falling roles have hit certain schools. With the schools who are the gainers how many spaces are they holding that are being subsidised. This needs to come into the equation as well.
- 4.1.18 **Response:** There is anxiety in the reductions in primary numbers and the impact of this. This will be looked at in the next forum in November. There are only two schools that are supported by the Falling Roles fund. The criteria were if there was more than 5% fall in one year, with 20% places vacant and the school was in an area where the LA would not support you reducing your PAN. Only two schools fulfilled that criteria. If the Schools Forum want to continue with the Falling Roles fund this is a decision the forum will have to make in November as well as the criteria behind it. The growth fund will need to be looked at how we use that. As we are moving to a phase of secondary expansion we need to revisit the growth criteria which is really steered towards primary expansion to see if its still fit for purpose and affordable.
- 4.1.19 The Strategic Finance Advisor and the Team were thanked for the work they have put in. Decisions around the NFF will not be made till November or December 2019.

It was asked for the Schools Forum to get agreement about what exactly is wanted over the next month.

4.1.20 Recommendations

Based on page 13

4.1.21 **2.1** is for the forum to note.

4.1.22 In point **2.2** the team are asking for any further modelling suggestions that the forum would like to see be made clear for the next Schools Forum meeting.

4.1.23 The forum were given two minutes to think about this-

- Declining numbers, Falling rolls and how it will impact.
- The implications of the growth fund with regard to secondary expansion.
- The potential impact around funding for Teachers pay increases and pensions beyond 2020-21.
- To look at what would happen if we set a contingency at a different level.
- If there are any inter-block transfers the impact this might have.
- To share the details of the NFF when it is received and to see if we did move toward this what impact it would have locally.

4.1.24 If there are additional questions these are to be sent in within two week's time in order to not delay papers.

5. **Date of Next Meeting:**

This will be last Schools Forum meeting in Town Hall.

Norlington School have kindly offered to host the Schools Forum at their school. This location will be trialled. There is parking in the School Playground.

Wednesday 13 November 2019

Gratitude was extended to the Education Finance team. A reminder was given for questions to be sent to the Strategic Finance Advisor.

Meeting Closed

Schools Forum 16 October 2019

Summary of Decisions

Item 4 **Local Funding Formula 2020-21**

2.1 **Schools Forum noted:** the contents of this report

2.1.1 The contents of this report

2.2 **Schools Forum asked for the following to be considered:**

- The impact of falling rolls.
- The implications of the growth fund with regards to secondary expansion.
- The potential impact around funding for Teachers pay increases and pensions beyond 2020-21.
- To look at what would happen if we set a contingency at a different level.
- The impact of any inter-block transfers
- To share the details of the NFF when it is received and to see if we did move toward this what impact it would have locally.

Meeting / Date	SCHOOLS FORUM 13 November 2019	Agenda Item	4
Report Title	Local Funding Formula 2020-21		
Decision/Discussion/ Information	For Information and Decision		
Report Author/ Contact details	Duncan James-Pike, Strategic Finance Advisor duncan.james-pike@walthamforest.gov.uk 020 8496 3502 Jerome Francis, Principal Accountant Education Finance jerome.francis@walthamforest.gov.uk 020 8496 6805		
Appendices	Appendix 1: NFF Model G 1-3 Appendix 2: NFF LFF Hybrid Model H 1-3 Appendix 3: Model G1 School Comparison Appendix 4: LFF and NFF funding factors Appendix 5: Illustration of Top-Slicing		

1. SUMMARY

- 1.1 This report and its appendices set out illustrations of changes to the Local Funding Formula (LFF) using the 2019-20 Authority Pro Formal Tool (APT) and the initial 2020-21 APT. This report also includes appendices that illustrate the use of National Formula Funding (NFF) factors and the impact on Waltham Forest Schools. Both tools use October 2018 census data.

2. RECOMMENDATIONS

- 2.1 Schools Forum **to note**:
- 2.1.1 The contents of this report
- 2.1.2 That an on-line consultation following the decisions below will be held between 25 and 29 November and results will be reported back to December Schools Forum.
- 2.2 Schools Forum **to agree**:
- 2.2.1 That the opportunity provided by the largest increase in Schools Block Funding in recent years is used
- a. to move to the NFF Funding Factors (MODEL G) **or**
- b. another model.
- 2.3 A preference for the Minimum Funding Guarantee to be set at either:

- a. +1.84% **or**
 - b. Another rate between +0.5% and +1.84%.
- 2.4 That any additional funding available after running the formula
- a. Is used to increase Basic Entitlement (AWPU) **or**
 - b. Is applied using a different method.

3. REASON

- 3.1 In October 2019 Schools Forum requested that officers model the impact of moving towards NFF funding figures over a transitional period. As the schools block will increase by a larger percentage than in recent years this will offer greater scope to move towards the NFF.
- 3.2 Officers need to consult with schools in November so that a revised LFF can be implemented once the APT has been received in mid-December.

4. ILLUSTRATIVE MODELS

- 4.1 In addition to the six models produced in October there are 3 models showing what a move towards NFF would look like. See Appendix 1 for Models G1, G2 and G3. All three use the initial 2020-21 APT with October 2018 census data. These are using NFF funding amounts multiplied by our Area Cost Adjustment (ACA) of 1.082 and with three MFG values of +0.5%, +1.0% and +1.84%.
- 4.2 As the LFF has generally funded schools at a higher level than they would receive under the NFF in the models the majority of schools would receive an increase via the operation of the MFG.
- 4.3 The table below shows how many of the 68 schools receive their full NFF allocation and how many are benefiting from the MFG at the various MFG levels.

Table 1: Using NFF factors

MFG Level	NFF Schools	MFG Schools	Minimum Gain	Maximum Gain
+1.84%	3	65	1.42%	1.80%
+1.00%	39	29	0.83%	5.05%
+0.50%	46	22	0.41%	5.31%

- 4.4 As the value of the MFG is reduced, less funding is diverted from the operation of the formula so the number of schools that move to the NFF increases. If a faster transition to the NFF is desired using a lower MFG increases the pace of transition, however, the higher the MFG, the more evenly the extra funding is distributed.

- 4.5 As noted at October 2019 Schools Forum the MFG applies to all Pupil-led factors in the funding formula plus PFI and split-sites but excludes rates and lump sum. In the illustrative models this means that the year on year increase in overall funding, which includes rates and lump sum, is slightly lower than the MFG level used.
- 4.6 If a lower MFG value were chosen this would leave some surplus budget to allocate to other factors as less is being used to pay for the MFG. The increase to other factors is required to bring the total Schools Block budget back to our allocation of £202.146 million as shown in the table below:

Table 2: Extra funds to be applied when using lower MFG rates:

MFG Level	Post MFG Budget (£m)	To be Allocated (£m)
+1.84%	202.135	0.010
+1.00%	200.718	1.428
+0.50%	199.884	2.262

- 4.7 For modelling purposes officers have allocated all additional funding through increasing AWPU equally in both phases (3.41% at +1.0% MFG and 3.77% at +0.50% MFG). If +1.0% or +0.5% MFG is chosen, Schools Forum would have to decide through which factors any available surplus budget should be allocated.
- 4.8 Schools Forum have adopted four decision-making principles: fairness; stability; transparency; and protecting vulnerable pupils. There is always a balance to be struck between the principles. Putting any surplus into IDACI or Low Prior Attainment arguably protects vulnerable pupils more and follows the NFF's higher weighting to these factors but officers recommend using AWPU as it fulfils the first three principles most closely.
- 4.9 As moving directly to NFF funding factors caused most schools to engage the MFG, thereby dampening the effects of any movement towards the NFF, modelling was done to show what the impact of using 'halfway house' figures would look like. This is shown in Appendix 2 : Model H.
- 4.10 This was done by taken the average of NFF figures above and those used in the 2019-20 Local Funding Formula. As shown in the table below this resulted in more movement without engaging the MFG than Model G:

Table 3: Using average of LFF and NFF factors

MFG Level	Hybrid Schools	MFG Schools	Minimum Gain	Maximum Gain
+1.82%*	7	61	1.53%	2.43%
+1.00%	48	20	0.85%	3.84%
+0.50%	57	11	0.43%	3.95%

*Note it was only possible to raise the MFG to 1.82% in the hybrid model H

- 4.11 As the MFG is reduced from 1.84% the range of gains increases. Schools Forum needs to decide the extent to transition towards the NFF factors, or to prioritise continuity with a higher MFG.
- 4.12 Similarly to paragraph 4.8 above, having a lower MFG of say +0.5% arguably protects vulnerable pupils more due to the NFF's higher weighting to IDACI and Low Prior Attainment and lower weighting to Basic Entitlement (AWPU) but officers recommend that aiming to apply a +1.84% MFG fulfils the first three principles of fairness, stability, and transparency most closely.

5 TOP-SLICING

- 5.1 Appendix 5 illustrates the impact of varying levels of top-slicing based on Model G1 (NFF factors and 1.84% MFG). This is to assist Schools Forum in deciding on any top-slicing such as establishing a contingency (mentioned in the October meeting); contributing to a falling rolls fund and /or contributing to the gap in Growth funding (see Item 5 on this agenda); and making a transfer to the High Needs Block (see Item 6 on this agenda).

MODELS:

MODEL G1: The NFF factors for 2020-21+ Area Cost Adjustment using 1.84% MFG

MODEL G2: The NFF factors for 2020-21+ Area Cost Adjustment using 1% MFG

MODEL G3: The NFF factors for 2020-21+ Area Cost Adjustment using .5% MFG

MODEL H1: (Average of LFF factors for 2019-20 + NFF factors for 2020-21+ Area Cost Adjustment) using 1.82% MFG

MODEL H2: (Average of LFF factors for 2019-20 + NFF factors for 2020-21+ Area Cost Adjustment) using 1% MFG

MODEL H3: (Average of LFF factors for 2019-20 + NFF factors for 2020-21+ Area Cost Adjustment) using .5% MFG

APPENDIX 1: NFF

School Name	MODEL G1 NFF Factors + 1.84% MFG			MODEL G2 NFF Factors + 1% MFG			MODEL G3 NFF Factors + .5% MFG		
	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change
	£6,107,195	£202,135,191		£1,397,723	£202,146,000		£903,387	£202,146,000	
Chase Lane Primary School	£82,640.24	£3,234,002.36	1.70%	£0.00	£3,217,263.23	1.18%	£0.00	£3,224,164.78	1.39%
Whitehall Primary School	£27,616.52	£1,952,232.48	1.66%	£0.00	£1,969,183.70	2.55%	£0.00	£1,973,851.09	2.79%
Downsell Primary School	£64,472.23	£2,887,512.81	1.71%	£0.00	£2,878,486.22	1.39%	£0.00	£2,884,292.82	1.60%
Newport School	£199,871.59	£3,522,509.68	1.75%	£88,600.82	£3,494,882.63	0.95%	£63,396.48	£3,478,437.96	0.48%
Chapel End Infant School and Early Years Centre	£45,808.16	£1,220,217.76	1.53%	£13,246.46	£1,211,840.92	0.83%	£5,727.47	£1,206,854.71	0.41%
Edinburgh Primary School	£93,479.29	£2,486,012.71	1.65%	£20,319.24	£2,467,559.02	0.90%	£3,605.73	£2,456,574.69	0.45%
Greenleaf Primary School	£112,273.13	£1,876,791.11	1.69%	£54,520.65	£1,862,550.26	0.92%	£41,487.15	£1,854,073.56	0.46%
Handsworth Primary School	£114,970.54	£1,785,430.41	1.69%	£57,720.87	£1,771,903.60	0.92%	£45,090.28	£1,763,851.92	0.46%
Thorpe Hall Primary School	£59,012.82	£2,017,589.23	1.67%	£0.00	£2,003,883.43	0.98%	£0.00	£2,008,628.25	1.22%
The Winns Primary School	£110,804.03	£3,372,742.68	1.74%	£7,567.06	£3,346,390.34	0.95%	£0.00	£3,346,875.10	0.96%
Woodford Green Primary School	£44,068.00	£916,465.51	1.55%	£17,095.71	£910,087.32	0.84%	£11,142.43	£906,290.78	0.42%
Oakhill Primary School	£28,077.17	£1,033,695.19	1.58%	£0.00	£1,029,486.04	1.17%	£0.00	£1,031,985.65	1.41%
Henry Maynard Primary School	£209,912.05	£3,595,679.28	1.73%	£95,652.91	£3,567,704.12	0.94%	£69,964.85	£3,551,052.24	0.47%
South Grove Primary School	£93,978.93	£2,200,879.61	1.65%	£34,576.43	£2,184,566.30	0.90%	£20,353.57	£2,174,856.00	0.45%
Dawlish Primary School	£21,723.23	£952,851.22	1.56%	£0.00	£950,982.81	1.36%	£0.00	£953,062.12	1.58%
Gwyn Jones Primary School	£113,461.34	£1,767,352.12	1.69%	£58,140.18	£1,753,958.43	0.92%	£45,776.85	£1,745,985.99	0.46%
George Tomlinson Primary School	£103,516.41	£2,137,130.84	1.68%	£39,087.62	£2,120,966.17	0.92%	£24,411.29	£2,111,344.34	0.46%
Mission Grove Primary School	£214,263.57	£3,401,443.93	1.74%	£109,405.40	£3,374,948.95	0.94%	£85,427.92	£3,359,178.12	0.47%
Coppermill Primary School	£34,579.57	£1,198,107.58	1.59%	£0.00	£1,189,825.09	0.89%	£0.00	£1,192,579.07	1.12%
Stoneydown Park School	£71,978.37	£2,331,950.69	1.70%	£1,869.07	£2,314,118.71	0.93%	£0.00	£2,317,724.44	1.08%
Parkside Primary School	£68,290.60	£2,501,429.47	1.73%	£0.00	£2,489,323.79	1.23%	£0.00	£2,495,207.81	1.47%
The Jenny Hammond Primary School	£29,694.20	£1,469,716.43	1.63%	£0.00	£1,472,972.78	1.86%	£0.00	£1,476,423.56	2.09%
Ainslie Wood Primary School	£70,085.24	£1,888,861.06	1.66%	£13,131.91	£1,874,785.69	0.90%	£263.28	£1,866,407.50	0.45%
Barn Croft Primary School	£10,680.86	£1,010,804.32	1.56%	£0.00	£1,021,139.99	2.60%	£0.00	£1,023,340.97	2.82%
Chingford CofE Primary School	£39,902.68	£1,772,672.05	1.70%	£0.00	£1,775,330.50	1.86%	£0.00	£1,779,787.75	2.11%
St Mary's Catholic Primary School	£27,395.51	£965,030.05	1.59%	£0.00	£960,446.47	1.11%	£0.00	£962,835.47	1.36%
St Joseph's Catholic Junior School	-£10,394.76	£986,972.80	1.60%	£0.00	£1,019,228.98	4.92%	£0.00	£1,021,518.44	5.15%
St Joseph's Catholic Infant School	£13,669.41	£752,575.67	1.52%	£0.00	£753,691.76	1.67%	£0.00	£755,240.18	1.88%
Our Lady and St George's Catholic Primary School	£40,753.53	£1,843,422.71	1.71%	£0.00	£1,845,019.09	1.80%	£0.00	£1,849,454.23	2.04%
St Patrick's Catholic Primary School	£51,754.33	£1,877,389.02	1.71%	£0.00	£1,868,829.49	1.24%	£0.00	£1,873,353.11	1.49%
Frederick Bremer School	£154,225.90	£6,130,984.20	1.73%	£0.00	£6,112,456.84	1.42%	£0.00	£6,126,668.00	1.66%
Heathcote School & Science College	£75,919.11	£6,230,400.31	1.73%	£0.00	£6,296,783.21	2.81%	£0.00	£6,311,685.92	3.05%
Willowfield School	£78,667.36	£5,615,434.73	1.71%	£0.00	£5,673,168.41	2.76%	£0.00	£5,687,453.14	3.02%
Leytonstone School	£135,138.98	£5,151,637.65	1.74%	£0.00	£5,146,139.66	1.63%	£0.00	£5,159,716.43	1.90%
Walthamstow School for Girls	£176,144.51	£5,565,418.65	1.72%	£0.00	£5,529,203.73	1.06%	£0.00	£5,543,857.99	1.33%
Kelmscott School	£11,618.11	£5,399,173.79	1.75%	£0.00	£5,517,280.99	3.98%	£0.00	£5,530,866.59	4.23%
Holy Family Catholic School	£146,905.62	£5,894,645.76	1.79%	£0.00	£5,897,906.09	1.85%	£0.00	£5,913,632.36	2.12%
Buxton School	£86,096.36	£7,660,552.38	1.79%	£0.00	£7,758,067.66	3.09%	£0.00	£7,777,296.56	3.34%
Lime Academy Larkwood	£107,794.89	£2,958,794.25	1.75%	£20,166.98	£2,935,588.90	0.95%	£0.00	£2,922,168.64	0.49%
Yardley Primary School	£84,516.28	£1,911,076.96	1.71%	£22,957.82	£1,896,409.67	0.93%	£9,316.57	£1,887,679.14	0.46%
Davies Lane Primary School	£284,820.47	£3,565,017.39	1.77%	£170,369.93	£3,536,745.24	0.96%	£144,516.16	£3,519,916.57	0.48%
Hillyfield Primary Academy	£221,630.33	£5,802,742.68	1.79%	£44,680.79	£5,756,116.81	0.97%	£3,279.03	£5,728,363.32	0.49%
Emmanuel Community School	£15,152.96	£947,668.70	1.59%	£0.00	£952,581.79	2.11%	£0.00	£954,683.22	2.34%
Willow Brook Primary School Academy	£48,707.79	£2,437,624.47	1.74%	£0.00	£2,442,566.94	1.94%	£0.00	£2,448,185.52	2.18%

APPENDIX 1: NFF

School Name	MODEL G1 NFF Factors + 1.84% MFG			MODEL G2 NFF Factors + 1% MFG			MODEL G3 NFF Factors + .5% MFG		
	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change
	£6,107,195	£202,135,191		£1,397,723	£202,146,000		£903,387	£202,146,000	
The Woodside Primary Academy	£183,838.00	£4,736,440.87	1.78%	£41,429.44	£4,698,586.95	0.97%	£7,947.77	£4,676,054.86	0.48%
Chapel End Junior Academy	£11,024.47	£1,589,200.35	1.68%	£0.00	£1,613,977.92	3.27%	£0.00	£1,617,727.32	3.51%
Riverley Primary School	£50,501.41	£2,089,768.21	1.72%	£0.00	£2,081,827.93	1.33%	£0.00	£2,086,285.19	1.55%
Sybourn Primary School	£88,136.61	£2,488,083.79	1.74%	£12,734.22	£2,468,655.10	0.95%	£0.00	£2,461,782.78	0.66%
Thomas Gamuel Primary School	£20,700.43	£1,695,303.28	1.69%	£0.00	£1,711,355.39	2.66%	£0.00	£1,715,204.34	2.89%
Walthamstow Primary Academy	£1,379.88	£600,258.53	1.42%	£0.00	£610,337.41	3.12%	£0.00	£611,537.44	3.32%
Longshaw Primary School	£53,892.94	£1,475,295.03	1.67%	£9,329.69	£1,464,210.38	0.91%	£0.00	£1,458,386.78	0.51%
Roger Ascham Primary School	-£2,390.32	£2,038,418.51	1.72%	£0.00	£2,086,010.23	4.09%	£0.00	£2,090,743.99	4.33%
Chingford Hall Primary School	£21,057.23	£1,601,292.23	1.69%	£0.00	£1,614,875.33	2.55%	£0.00	£1,618,503.07	2.78%
Whittingham Primary Academy	£65,400.47	£1,863,073.90	1.70%	£9,437.85	£1,848,827.51	0.93%	£0.00	£1,843,758.44	0.65%
Mayville Primary School	£73,581.91	£1,697,514.10	1.69%	£23,231.86	£1,684,655.87	0.92%	£11,651.79	£1,677,002.16	0.46%
St Saviour's Church of England Primary School	£74,264.85	£1,550,058.82	1.68%	£27,202.07	£1,538,375.64	0.91%	£16,542.63	£1,531,421.37	0.46%
St Mary's CofE Primary School	£146,018.21	£2,474,860.85	1.74%	£64,608.36	£2,455,550.12	0.95%	£46,610.48	£2,444,055.63	0.47%
Barclay Primary School	£274,188.68	£5,077,802.18	1.78%	£116,780.46	£5,037,199.46	0.97%	£80,379.61	£5,013,031.17	0.48%
Selwyn Primary School	£149,179.86	£2,587,553.16	1.74%	£68,621.89	£2,567,298.94	0.95%	£50,250.44	£2,555,242.85	0.47%
South Chingford Foundation School	-£27,374.20	£3,404,958.25	1.76%	£0.00	£3,515,275.42	5.05%	£0.00	£3,523,961.70	5.31%
Eden Girls' School Waltham Forest	£74,154.13	£3,447,386.05	1.76%	£0.00	£3,457,114.18	2.05%	£0.00	£3,465,898.83	2.31%
Connaught School for Girls	£138,778.74	£3,796,388.94	1.77%	£10,715.08	£3,766,209.35	0.96%	£0.00	£3,765,745.26	0.95%
Norlington School and 6th Form	£269,047.54	£3,904,629.83	1.77%	£144,522.19	£3,873,568.83	0.96%	£116,245.36	£3,855,080.13	0.48%
Highams Park School	£211,583.24	£6,860,576.37	1.79%	£0.00	£6,834,749.68	1.41%	£0.00	£6,854,203.21	1.70%
Chingford Foundation School	£183,506.95	£7,354,944.02	1.80%	£0.00	£7,365,928.66	1.95%	£0.00	£7,386,296.98	2.23%
Walthamstow Academy	£155,280.77	£5,629,177.15	1.78%	£0.00	£5,612,091.80	1.47%	£0.00	£5,626,564.45	1.74%
Lammas School and Sixth Form	£9,542.37	£4,963,180.63	1.78%	£0.00	£5,057,479.52	3.71%	£0.00	£5,068,354.39	3.94%
George Mitchell School	£66,223.18	£4,978,383.40	1.79%	£0.00	£5,029,466.61	2.83%	£0.00	£5,041,751.63	3.08%

APPENDIX 2: HYBRID MODEL

School Name	Model H1 1.82% MFG			Model H2 1% MFG			Model H3 0.5% MFG		
	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change
	£3,400,700	£202,146,211		£502,285	£202,146,000		£272,458	£202,146,000	
Chase Lane Primary School	£38,440.42	£3,233,689.64	1.69%	£0.00	£3,236,521.01	1.78%	£0.00	£3,239,793.00	1.89%
Whitehall Primary School	£10,680.91	£1,952,137.25	1.66%	£0.00	£1,969,367.72	2.56%	£0.00	£1,971,580.50	2.67%
Downsell Primary School	£20,873.66	£2,887,255.51	1.70%	£0.00	£2,901,105.72	2.19%	£0.00	£2,903,858.59	2.29%
Newport School	£116,872.42	£3,522,135.09	1.74%	£37,355.86	£3,495,001.96	0.95%	£16,698.62	£3,478,497.62	0.48%
Chapel End Infant School and Early Years Centre	£19,887.68	£1,220,255.67	1.53%	£0.00	£1,215,514.21	1.14%	£0.00	£1,216,714.99	1.24%
Edinburgh Primary School	£55,489.74	£2,485,834.69	1.65%	£3,072.52	£2,467,678.35	0.90%	£0.00	£2,467,321.99	0.89%
Greenleaf Primary School	£54,820.92	£1,876,703.37	1.69%	£13,537.17	£1,862,669.59	0.93%	£2,840.46	£1,854,133.22	0.46%
Handsworth Primary School	£59,813.27	£1,785,357.97	1.68%	£19,095.98	£1,772,022.93	0.92%	£8,813.81	£1,763,911.59	0.46%
Thorpe Hall Primary School	£25,822.71	£2,017,481.90	1.67%	£0.00	£2,020,033.55	1.80%	£0.00	£2,022,283.04	1.91%
The Winns Primary School	£60,321.43	£3,372,395.40	1.73%	£0.00	£3,360,224.40	1.36%	£0.00	£3,364,041.72	1.48%
Woodford Green Primary School	£30,724.17	£916,546.25	1.56%	£11,487.13	£910,206.65	0.85%	£6,608.43	£906,350.44	0.43%
Oakhill Primary School	£13,573.81	£1,033,755.12	1.59%	£0.00	£1,035,129.11	1.72%	£0.00	£1,036,314.16	1.84%
Henry Maynard Primary School	£109,800.73	£3,595,297.23	1.72%	£28,289.99	£3,567,823.45	0.95%	£7,294.46	£3,551,111.90	0.47%
South Grove Primary School	£48,165.12	£2,200,747.45	1.64%	£5,117.89	£2,184,685.63	0.90%	£0.00	£2,181,707.11	0.76%
Dawlish Primary School	£13,487.32	£952,925.82	1.56%	£0.00	£951,872.95	1.45%	£0.00	£952,858.74	1.56%
Gwyn Jones Primary School	£63,016.39	£1,767,282.53	1.68%	£23,553.75	£1,754,077.75	0.92%	£13,439.96	£1,746,045.65	0.46%
George Tomlinson Primary School	£55,563.87	£2,137,001.87	1.68%	£9,421.20	£2,121,085.50	0.92%	£0.00	£2,114,060.61	0.59%
Mission Grove Primary School	£118,985.63	£3,401,093.60	1.73%	£43,883.91	£3,375,068.27	0.95%	£24,162.69	£3,359,237.78	0.47%
Coppermill Primary School	£21,613.90	£1,198,141.16	1.60%	£0.00	£1,192,996.30	1.16%	£0.00	£1,194,301.95	1.27%
Stoneydown Park School	£33,453.38	£2,331,785.99	1.70%	£0.00	£2,331,072.26	1.67%	£0.00	£2,333,667.82	1.78%
Parkside Primary School	£31,007.93	£2,501,231.89	1.72%	£0.00	£2,505,410.81	1.89%	£0.00	£2,508,200.39	2.00%
The Jenny Hammond Primary School	£13,747.16	£1,469,703.27	1.63%	£0.00	£1,476,592.00	2.11%	£0.00	£1,478,227.99	2.22%
Ainslie Wood Primary School	£38,759.45	£1,888,776.86	1.65%	£0.00	£1,876,870.54	1.01%	£0.00	£1,878,999.42	1.13%
Barn Croft Primary School	£7,678.74	£1,010,870.11	1.56%	£0.00	£1,016,353.36	2.11%	£0.00	£1,017,396.83	2.22%
Chingford CofE Primary School	£17,903.85	£1,772,599.14	1.70%	£0.00	£1,781,349.99	2.20%	£0.00	£1,783,463.15	2.32%
St Mary's Catholic Primary School	£15,865.57	£965,099.36	1.60%	£0.00	£963,520.19	1.43%	£0.00	£964,652.80	1.55%
St Joseph's Catholic Junior School	£0.00	£995,098.45	2.43%	£0.00	£1,008,789.57	3.84%	£0.00	£1,009,874.99	3.95%
St Joseph's Catholic Infant School	£3,933.54	£752,682.70	1.54%	£0.00	£758,008.86	2.26%	£0.00	£758,742.96	2.35%
Our Lady and St George's Catholic Primary School	£16,394.35	£1,843,337.46	1.70%	£0.00	£1,853,465.53	2.26%	£0.00	£1,855,568.20	2.38%
St Patrick's Catholic Primary School	£27,863.30	£1,877,297.91	1.70%	£0.00	£1,876,486.15	1.66%	£0.00	£1,878,630.77	1.78%
Frederick Bremer School	£124,129.74	£6,129,955.70	1.71%	£0.00	£6,087,088.49	1.00%	£0.00	£6,093,534.78	1.11%
Heathcote School & Science College	£29,789.69	£6,229,355.67	1.71%	£0.00	£6,284,918.62	2.62%	£0.00	£6,291,689.24	2.73%
Willowfield School	£43,513.57	£5,614,499.48	1.70%	£0.00	£5,652,693.82	2.39%	£0.00	£5,659,175.43	2.50%
Leytonstone School	£80,332.81	£5,150,765.32	1.72%	£0.00	£5,148,149.71	1.67%	£0.00	£5,154,314.68	1.79%
Walthamstow School for Girls	£104,596.51	£5,564,487.77	1.70%	£0.00	£5,543,685.94	1.32%	£0.00	£5,550,333.10	1.44%
Kelmscott School	£11,940.80	£5,398,254.67	1.73%	£0.00	£5,463,958.98	2.97%	£0.00	£5,470,118.35	3.09%
Holy Family Catholic School	£90,885.86	£5,893,619.76	1.78%	£0.00	£5,892,880.62	1.76%	£0.00	£5,900,031.46	1.89%
Buxton School	£33,519.56	£7,659,222.63	1.77%	£0.00	£7,737,530.00	2.82%	£0.00	£7,746,398.81	2.93%
Lime Academy Larkwood	£40,711.70	£2,958,514.41	1.74%	£0.00	£2,958,148.54	1.73%	£0.00	£2,961,347.11	1.84%
Yardley Primary School	£37,541.51	£1,910,980.07	1.70%	£0.00	£1,902,805.03	1.27%	£0.00	£1,905,133.18	1.39%
Davies Lane Primary School	£164,686.96	£3,564,628.98	1.76%	£82,951.73	£3,536,864.56	0.96%	£61,784.66	£3,519,976.23	0.48%
Hillyfield Primary Academy	£141,203.46	£5,801,960.97	1.78%	£13,861.01	£5,756,236.14	0.98%	£0.00	£5,748,845.69	0.85%
Emmanuel Community School	£4,183.32	£947,741.22	1.60%	£0.00	£956,124.64	2.49%	£0.00	£957,120.92	2.60%
Willow Brook Primary School Academy	£9,250.50	£2,437,434.91	1.73%	£0.00	£2,461,783.89	2.74%	£0.00	£2,464,447.62	2.86%
The Woodside Primary Academy	£114,946.66	£4,735,847.13	1.77%	£12,326.52	£4,698,706.28	0.97%	£0.00	£4,691,570.89	0.82%
Chapel End Junior Academy	£0.00	£1,590,201.91	1.75%	£0.00	£1,612,623.61	3.18%	£0.00	£1,614,401.18	3.29%
Riverley Primary School	£24,970.99	£2,089,640.48	1.71%	£0.00	£2,091,324.18	1.79%	£0.00	£2,093,437.34	1.90%

APPENDIX 2: HYBRID MODEL

School Name	Model H1 1.82% MFG			Model H2 1% MFG			Model H3 0.5% MFG		
	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change	20-21 MFG Adjustment	20-21 Post MFG Budget	Year on year % Change
	£3,400,700	£202,146,211		£502,285	£202,146,000		£272,458	£202,146,000	
Sybourn Primary School	£45,321.57	£2,487,884.87	1.73%	£0.00	£2,477,617.87	1.31%	£0.00	£2,480,396.96	1.43%
Thomas Gamuel Primary School	£0.00	£1,698,048.87	1.86%	£0.00	£1,721,065.84	3.24%	£0.00	£1,722,890.60	3.35%
Walthamstow Primary Academy	£0.00	£602,350.26	1.77%	£0.00	£609,526.52	2.98%	£0.00	£610,095.45	3.08%
Longshaw Primary School	£26,075.89	£1,475,274.91	1.67%	£0.00	£1,470,165.62	1.32%	£0.00	£1,471,827.83	1.43%
Roger Ascham Primary School	£0.00	£2,045,188.13	2.06%	£0.00	£2,073,496.35	3.47%	£0.00	£2,075,740.60	3.58%
Chingford Hall Primary School	£8,233.69	£1,601,249.95	1.68%	£0.00	£1,614,710.41	2.54%	£0.00	£1,616,430.30	2.65%
Whittingham Primary Academy	£44,730.51	£1,862,986.03	1.70%	£4,565.75	£1,848,946.84	0.93%	£0.00	£1,846,452.30	0.80%
Mayville Primary School	£36,999.74	£1,697,455.98	1.68%	£839.01	£1,684,775.20	0.92%	£0.00	£1,685,797.65	0.99%
St Saviour's Church of England Primary School	£50,341.97	£1,550,025.88	1.68%	£16,653.92	£1,538,494.97	0.92%	£7,883.39	£1,531,481.03	0.46%
St Mary's CofE Primary School	£85,246.10	£2,474,664.47	1.73%	£27,360.34	£2,455,669.44	0.95%	£12,722.98	£2,444,115.29	0.47%
Barclay Primary School	£120,472.82	£5,077,149.54	1.77%	£7,490.46	£5,037,318.78	0.97%	£0.00	£5,035,627.71	0.94%
Selwyn Primary School	£65,964.28	£2,587,336.56	1.74%	£8,279.64	£2,567,418.26	0.95%	£0.00	£2,562,132.70	0.74%
South Chingford Foundation School	£0.00	£3,414,842.25	2.05%	£0.00	£3,464,322.25	3.53%	£0.00	£3,468,247.51	3.65%
Eden Girls' School Waltham Forest	£41,229.24	£3,446,791.70	1.74%	£0.00	£3,455,894.61	2.01%	£0.00	£3,459,887.21	2.13%
Connaught School for Girls	£78,495.28	£3,795,731.90	1.75%	£0.00	£3,775,931.49	1.22%	£0.00	£3,780,587.49	1.35%
Norlington School and 6th Form	£219,591.44	£3,903,953.90	1.76%	£133,141.04	£3,873,563.15	0.96%	£110,208.24	£3,855,077.30	0.48%
Highams Park School	£117,274.21	£6,859,383.62	1.78%	£0.00	£6,853,357.23	1.69%	£0.00	£6,862,182.15	1.82%
Chingford Foundation School	£111,160.73	£7,353,662.86	1.78%	£0.00	£7,359,086.67	1.86%	£0.00	£7,368,334.84	1.98%
Walthamstow Academy	£102,149.01	£5,628,202.31	1.77%	£0.00	£5,608,813.33	1.41%	£0.00	£5,615,378.42	1.53%
Lammas School and Sixth Form	£0.00	£4,964,877.11	1.81%	£0.00	£5,027,088.16	3.09%	£0.00	£5,032,023.14	3.19%
George Mitchell School	£46,648.32	£4,977,517.76	1.77%	£0.00	£5,002,209.61	2.27%	£0.00	£5,007,867.62	2.39%

Appendix 3 Model G1 All Schools

School Name	NOR	Subtotal Pre MFG Budget	Pre-MFG Budget Per Pupil	19-20 Pre-MFG Budget Per Pupil	Change in % Per Pupil	MFG adjustment %	Change + MFG Adj %	20-21 MFG Adjustment £	Lump Sum	Rates	20-21 Post MFG Budget	20-21 Post MFG per pupil Budget	Year on year % Change
	A	B	C = A/B	D	E = C/D	F	G = E + F	H	I	J	K = B + H + I + J	L = K/A	
	38,130	£184,384,449	£313,567	£318,021				£6,107,195	£8,422,851	£3,220,696	£202,135,191		
Chase Lane Primary School	624.00	£2,916,755.46	£4,674.29	£4,719.88	-0.97%	2.81%	1.84%	£82,640.24	£123,865.46	£110,741.20	£3,234,002.36	£5,182.70	1.70%
Whitehall Primary School	422.00	£1,741,242.50	£4,126.17	£4,115.88	0.25%	1.59%	1.84%	£27,616.52	£123,865.46	£59,508.00	£1,952,232.48	£4,626.14	1.66%
Downsell Primary School	525.00	£2,621,325.83	£4,993.00	£5,023.38	-0.60%	2.44%	1.84%	£64,472.23	£123,865.46	£77,849.29	£2,887,512.81	£5,500.02	1.71%
Newport School	792.00	£3,149,579.53	£3,976.74	£4,152.70	-4.24%	6.08%	1.84%	£199,871.59	£123,865.46	£49,193.11	£3,522,509.68	£4,447.61	1.75%
Chapel End Infant School and Early Years Centre	229.00	£969,783.63	£4,234.86	£4,354.77	-2.75%	4.59%	1.84%	£45,808.16	£123,865.46	£80,760.51	£1,220,217.76	£5,328.46	1.53%
Edinburgh Primary School	518.00	£2,143,810.23	£4,138.63	£4,241.06	-2.42%	4.26%	1.84%	£93,479.29	£123,865.46	£124,857.72	£2,486,012.71	£4,799.25	1.65%
Greenleaf Primary School	412.00	£1,614,261.00	£3,918.11	£4,114.90	-4.78%	6.62%	1.84%	£112,273.13	£123,865.46	£26,391.52	£1,876,791.11	£4,555.32	1.69%
Handsworth Primary School	414.00	£1,524,994.42	£3,683.56	£3,889.70	-5.30%	7.14%	1.84%	£114,970.54	£123,865.46	£21,600.00	£1,785,430.41	£4,312.63	1.69%
Thorpe Hall Primary School	429.00	£1,778,353.81	£4,145.35	£4,205.52	-1.43%	3.27%	1.84%	£59,012.82	£123,865.46	£56,357.15	£2,017,589.23	£4,703.01	1.67%
The Winns Primary School	728.00	£3,084,104.06	£4,236.41	£4,309.32	-1.69%	3.53%	1.84%	£110,804.03	£123,865.46	£53,969.14	£3,372,742.68	£4,632.89	1.74%
Woodford Green Primary School	195.00	£729,212.05	£3,739.55	£3,893.89	-3.96%	5.80%	1.84%	£44,068.00	£123,865.46	£19,320.00	£916,465.51	£4,699.82	1.55%
Oakhill Primary School	226.00	£862,912.56	£3,818.20	£3,871.20	-1.37%	3.21%	1.84%	£28,077.17	£123,865.46	£18,840.00	£1,033,695.19	£4,573.87	1.58%
Henry Maynard Primary School	817.00	£3,181,743.30	£3,894.42	£4,076.35	-4.46%	6.30%	1.84%	£209,912.05	£123,865.46	£80,158.47	£3,595,679.28	£4,401.08	1.73%
South Grove Primary School	408.00	£1,883,815.65	£4,617.20	£4,759.95	-3.00%	4.84%	1.84%	£93,978.93	£123,865.46	£99,219.57	£2,200,879.61	£5,394.31	1.65%
Dawlish Primary School	188.00	£786,262.52	£4,182.25	£4,220.15	-0.90%	2.74%	1.84%	£21,723.23	£123,865.46	£21,000.00	£952,851.22	£5,068.36	1.56%
Gwyn Jones Primary School	397.00	£1,510,364.62	£3,804.44	£4,016.34	-5.28%	7.12%	1.84%	£113,461.34	£123,865.46	£19,660.70	£1,767,352.12	£4,451.77	1.69%
George Tomlinson Primary School	457.00	£1,856,257.43	£4,061.83	£4,210.87	-3.54%	5.38%	1.84%	£103,516.41	£123,865.46	£53,491.54	£2,137,130.84	£4,676.44	1.68%
Mission Grove Primary School	742.00	£2,997,938.19	£4,040.35	£4,250.90	-4.95%	6.79%	1.84%	£214,263.57	£123,865.46	£65,376.72	£3,401,443.93	£4,584.16	1.74%
Coppermill Primary School	249.00	£1,005,513.94	£4,038.21	£4,101.61	-1.55%	3.39%	1.84%	£34,579.57	£123,865.46	£34,148.61	£1,198,107.58	£4,811.68	1.59%
Stoneydown Park School	495.00	£2,089,936.86	£4,222.09	£4,288.60	-1.55%	3.39%	1.84%	£71,978.37	£123,865.46	£46,170.00	£2,331,950.69	£4,711.01	1.70%
Parkside Primary School	532.00	£2,279,621.96	£4,285.00	£4,333.63	-1.12%	2.96%	1.84%	£68,290.60	£123,865.46	£29,651.45	£2,501,429.47	£4,701.94	1.73%
The Jenny Hammond Primary School	312.00	£1,274,860.26	£4,086.09	£4,105.72	-0.48%	2.32%	1.84%	£29,694.20	£123,865.46	£41,296.50	£1,469,716.43	£4,710.63	1.63%
Ainslie Wood Primary School	406.00	£1,636,385.48	£4,030.51	£4,127.19	-2.34%	4.18%	1.84%	£70,085.24	£123,865.46	£58,524.87	£1,888,861.06	£4,652.37	1.66%
Barn Croft Primary School	199.00	£847,170.99	£4,257.14	£4,232.93	0.57%	1.27%	1.84%	£10,680.86	£123,865.46	£29,087.00	£1,010,804.32	£5,079.42	1.56%
Chingford CofE Primary School	403.00	£1,602,657.35	£3,976.82	£4,002.19	-0.63%	2.47%	1.84%	£39,902.68	£123,865.46	£6,246.56	£1,772,672.05	£4,398.69	1.70%
St Mary's Catholic Primary School	216.00	£810,523.47	£3,752.42	£3,809.17	-1.49%	3.33%	1.84%	£27,395.51	£123,865.46	£3,245.61	£965,030.05	£4,467.73	1.59%
St Joseph's Catholic Junior School	207.00	£869,163.70	£4,198.86	£4,073.69	3.07%	-1.23%	1.84%	£-10,394.76	£123,865.46	£4,338.40	£986,972.80	£4,767.98	1.60%
St Joseph's Catholic Infant School	140.00	£610,825.64	£4,363.04	£4,380.09	-0.39%	2.23%	1.84%	£13,669.41	£123,865.46	£4,215.15	£752,575.67	£5,375.54	1.52%
Our Lady and St George's Catholic Primary School	401.00	£1,671,621.73	£4,168.63	£4,193.11	-0.58%	2.42%	1.84%	£40,753.53	£123,865.46	£7,181.99	£1,843,422.71	£4,597.06	1.71%
St Patrick's Catholic Primary School	409.00	£1,693,817.73	£4,141.36	£4,190.79	-1.18%	3.02%	1.84%	£51,754.33	£123,865.46	£7,951.50	£1,877,389.02	£4,590.19	1.71%
Frederick Bremer School	867.00	£5,606,328.91	£6,466.35	£6,524.19	-0.89%	2.73%	1.84%	£154,225.90	£123,865.46	£246,563.93	£6,130,984.20	£7,071.49	1.73%
Heathcote School & Science College	912.00	£5,775,976.94	£6,333.31	£6,300.62	0.52%	1.32%	1.84%	£75,919.11	£123,865.46	£254,638.80	£6,230,400.31	£6,831.58	1.73%
Willowfield School	872.00	£5,154,279.16	£5,910.87	£5,892.66	0.31%	1.53%	1.84%	£78,667.36	£123,865.46	£258,622.75	£5,615,434.73	£6,439.72	1.71%
Leytonstone School	830.00	£4,741,833.93	£5,713.05	£5,769.71	-0.98%	2.82%	1.84%	£135,138.98	£123,865.46	£150,799.28	£5,151,637.65	£6,206.79	1.74%
Walthamstow School for Girls	894.00	£5,032,066.61	£5,628.71	£5,720.48	-1.60%	3.44%	1.84%	£176,144.51	£123,865.46	£233,342.07	£5,565,418.65	£6,225.30	1.72%
Kelmscott School	828.00	£5,130,064.32	£6,195.73	£6,097.57	1.61%	0.23%	1.84%	£11,618.11	£123,865.46	£133,625.90	£5,399,173.79	£6,520.74	1.75%
Holy Family Catholic School	964.00	£5,599,500.79	£5,808.61	£5,853.30	-0.76%	2.60%	1.84%	£146,905.62	£123,865.46	£24,373.89	£5,894,645.76	£6,114.78	1.79%
Buxton School	1,392.00	£7,378,847.04	£5,300.90	£5,265.85	0.67%	1.17%	1.84%	£86,096.36	£123,865.46	£71,743.52	£7,660,552.38	£5,503.27	1.79%
Lime Academy Larkwood	610.00	£2,705,577.56	£4,435.37	£4,528.76	-2.06%	3.90%	1.84%	£107,794.89	£123,865.46	£21,556.35	£2,958,794.25	£4,850.48	1.75%
Yardley Primary School	444.00	£1,693,717.72	£3,814.68	£3,932.67	-3.00%	4.84%	1.84%	£84,516.28	£123,865.46	£8,977.50	£1,911,076.96	£4,304.23	1.71%

Appendix 3 Model G1 All Schools

School Name	NOR	Subtotal Pre MFG Budget	Pre-MFG Budget Per Pupil	19-20 Pre-MFG Budget Per Pupil	Change in % Per Pupil	MFG adjustment %	Change + MFG Adj %	20-21 MFG Adjustment £	Lump Sum	Rates	20-21 Post MFG Budget	20-21 Post MFG per pupil Budget	Year on year % Change
	A	B	C = A/B	D	E = C/D	F	G = E + F	H	I	J	K = B + H + I + J	L = K/A	
	38,130	£184,384,449	£313,567	£318,021				£6,107,195	£8,422,851	£3,220,696	£202,135,191		
Davies Lane Primary School	816.00	£3,142,842.32	£3,851.52	£4,124.67	-6.62%	8.46%	1.84%	£284,820.47	£123,865.46	£13,489.15	£3,565,017.39	£4,368.89	1.77%
Hillyfield Primary Academy	1,234.00	£5,431,201.55	£4,401.30	£4,498.14	-2.15%	3.99%	1.84%	£221,630.33	£123,865.46	£26,045.35	£5,802,742.68	£4,702.38	1.79%
Emmanuel Community School	190.00	£804,607.68	£4,234.78	£4,236.58	-0.04%	1.88%	1.84%	£15,152.96	£123,865.46	£4,042.60	£947,668.70	£4,987.73	1.59%
Willow Brook Primary School Academy	508.00	£2,253,867.82	£4,436.75	£4,450.74	-0.31%	2.15%	1.84%	£48,707.79	£123,865.46	£11,183.40	£2,437,624.47	£4,798.47	1.74%
The Woodside Primary Academy	990.00	£4,405,498.51	£4,450.00	£4,551.94	-2.24%	4.08%	1.84%	£183,838.00	£123,865.46	£23,238.90	£4,736,440.87	£4,784.28	1.78%
Chapel End Junior Academy	339.00	£1,444,409.52	£4,260.80	£4,215.75	1.07%	0.77%	1.84%	£11,024.47	£123,865.46	£9,900.90	£1,589,200.35	£4,687.91	1.68%
Riverley Primary School	403.00	£1,902,268.54	£4,720.27	£4,758.04	-0.79%	2.63%	1.84%	£50,501.41	£123,865.46	£13,132.80	£2,089,768.21	£5,185.53	1.72%
Sybourn Primary School	530.00	£2,267,360.72	£4,278.04	£4,364.04	-1.97%	3.81%	1.84%	£88,136.61	£123,865.46	£8,721.00	£2,488,083.79	£4,694.50	1.74%
Thomas Gamuel Primary School	348.00	£1,542,221.59	£4,431.67	£4,410.01	0.49%	1.35%	1.84%	£20,700.43	£123,865.46	£8,515.80	£1,695,303.28	£4,871.56	1.69%
Walthamstow Primary Academy	108.50	£462,375.68	£4,261.53	£4,197.02	1.54%	0.30%	1.84%	£1,379.88	£123,865.46	£12,637.51	£600,258.53	£5,532.34	1.42%
Longshaw Primary School	317.00	£1,289,987.81	£4,069.36	£4,162.78	-2.24%	4.08%	1.84%	£53,892.94	£123,865.46	£7,548.82	£1,475,295.03	£4,653.93	1.67%
Roger Ascham Primary School	428.00	£1,908,222.37	£4,458.46	£4,372.43	1.97%	-0.13%	1.84%	£-2,390.32	£123,865.46	£8,721.00	£2,038,418.51	£4,762.66	1.72%
Chingford Hall Primary School	328.00	£1,448,212.84	£4,415.28	£4,398.55	0.38%	1.46%	1.84%	£21,057.23	£123,865.46	£8,156.70	£1,601,292.23	£4,881.99	1.69%
Whittingham Primary Academy	395.00	£1,661,803.76	£4,207.10	£4,293.67	-2.02%	3.86%	1.84%	£65,400.47	£123,865.46	£12,004.20	£1,863,073.90	£4,716.64	1.70%
Mayville Primary School	355.00	£1,485,325.65	£4,184.02	£4,311.95	-2.97%	4.81%	1.84%	£73,581.91	£123,865.46	£14,741.08	£1,697,514.10	£4,781.73	1.69%
St Saviour's Church of England Primary School	335.00	£1,342,181.51	£4,006.51	£4,151.80	-3.50%	5.34%	1.84%	£74,264.85	£123,865.46	£9,747.00	£1,550,058.82	£4,627.04	1.68%
St Mary's CofE Primary School	588.00	£2,195,178.88	£3,733.30	£3,909.69	-4.51%	6.35%	1.84%	£146,018.21	£123,865.46	£9,798.30	£2,474,860.85	£4,208.95	1.74%
Barclay Primary School	1,106.00	£4,648,408.10	£4,202.90	£4,370.40	-3.83%	5.67%	1.84%	£274,188.68	£123,865.46	£31,339.94	£5,077,802.18	£4,591.14	1.78%
Selwyn Primary School	571.00	£2,306,404.17	£4,039.24	£4,222.80	-4.35%	6.19%	1.84%	£149,179.86	£123,865.46	£8,103.68	£2,587,553.16	£4,531.62	1.74%
South Chingford Foundation School	526.00	£3,281,534.49	£6,238.66	£6,074.84	2.70%	-0.86%	1.84%	£-27,374.20	£123,865.46	£26,932.50	£3,404,958.25	£6,473.30	1.76%
Eden Girls' School Waltham Forest	538.00	£3,230,040.86	£6,003.79	£6,030.66	-0.45%	2.29%	1.84%	£74,154.13	£123,865.46	£19,325.60	£3,447,386.05	£6,407.78	1.76%
Connaught School for Girls	627.00	£3,520,137.94	£5,614.26	£5,730.16	-2.02%	3.86%	1.84%	£138,778.74	£123,865.46	£13,606.80	£3,796,388.94	£6,054.85	1.77%
Norlington School and 6th Form	599.00	£3,496,729.63	£5,837.61	£6,173.19	-5.44%	7.28%	1.84%	£269,047.54	£123,865.46	£14,987.20	£3,904,629.83	£6,518.58	1.77%
Highams Park School	1,187.00	£6,478,244.76	£5,457.66	£5,534.09	-1.38%	3.22%	1.84%	£211,583.24	£123,865.46	£46,882.91	£6,860,576.37	£5,779.76	1.79%
Chingford Foundation School	1,245.00	£7,006,531.61	£5,627.74	£5,670.79	-0.76%	2.60%	1.84%	£183,506.95	£123,865.46	£41,040.00	£7,354,944.02	£5,907.59	1.80%
Walthamstow Academy	883.00	£5,301,682.54	£6,004.17	£6,068.37	-1.06%	2.90%	1.84%	£155,280.77	£123,865.46	£48,348.38	£5,629,177.15	£6,375.06	1.78%
Lammas School and Sixth Form	664.00	£4,791,273.76	£7,215.77	£7,099.51	1.64%	0.20%	1.84%	£9,542.37	£123,865.46	£38,499.04	£4,963,180.63	£7,474.67	1.78%
George Mitchell School	866.00	£4,772,885.14	£5,511.41	£5,486.93	0.45%	1.39%	1.84%	£66,223.18	£123,865.46	£15,409.62	£4,978,383.40	£5,748.71	1.79%

APPENDIX 4: COMPARISON OF FACTORS NFF TO LFF

excluding Mobility

MODEL F

MODEL G

MODEL H

	2019-20 LFF FACTORS		NFF FACTORS 2020-21 WITH		AVERAGE OF MODEL F	
	PRIMARY £	SECONDARY £	PRIMARY £	SECONDARY £	PRIMARY £	SECONDARY £
KS1&2	3,639		3,093		3,366	
KS3		4,952		4,350		4,651
KS4		4,952		4,938		4,945
Ever 6 FSM	714	1,320	606	877	660	1,099
IDACI A	301	571	650	910	476	740
IDACI B	220	424	471	677	345	550
IDACI C	205	395	439	628	322	512
IDACI D	188	364	406	579	297	471
IDACI E	126	275	271	439	198	357
IDACI F	105	205	227	325	166	265
LOW PRIOR ATTAINMENT	499	1,003	1,153	1,743	826	1,373
EAL	815	815	579	1,559	697	1,187
LUMP SUM	100,000	125,000	123,865	123,865	111,932	124,432

INDICATIVE EXAMPLES OF TOP-SLICING SCHOOLS BUDGET													(Proposed Transfer from Schools Block to High Needs Block /Approx 50% of Growth Fund Gap)
School Name	NOR	20-21 Post MFG Budget (Model G1)	Budget £pp	Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp
	38,130	£202,135,191	£5,301.28	£39,750		£80,219		£200,000		£100,000		£303,000	
Chase Lane Primary School	624.00	£3,234,002.36	£5,182.70	-£635.97	-£1.02	-£1,283.44	-£2.06	-£3,199.84	-£5.13	£2,936.07	£4.71	-£4,847.76	-£7.77
Whitehall Primary School	422.00	£1,952,232.48	£4,626.14	-£383.91	-£0.91	-£774.76	-£1.84	-£1,931.61	-£4.58	£1,772.38	£4.20	-£2,926.39	-£6.93
Downsell Primary School	525.00	£2,887,512.81	£5,500.02	-£567.83	-£1.08	-£1,145.93	-£2.18	-£2,857.01	-£5.44	£2,621.50	£4.99	-£4,328.37	-£8.24
Newport School	792.00	£3,522,509.68	£4,447.61	-£692.70	-£0.87	-£1,397.94	-£1.77	-£3,485.30	-£4.40	£3,198.00	£4.04	-£5,280.23	-£6.67
Chapel End Infant School and Early Years Centre	229.00	£1,220,217.76	£5,328.46	-£239.96	-£1.05	-£484.25	-£2.11	-£1,207.33	-£5.27	£1,107.81	£4.84	-£1,829.10	-£7.99
Edinburgh Primary School	518.00	£2,486,012.71	£4,799.25	-£488.88	-£0.94	-£986.59	-£1.90	-£2,459.75	-£4.75	£2,256.99	£4.36	-£3,726.53	-£7.19
Greenleaf Primary School	412.00	£1,876,791.11	£4,555.32	-£369.07	-£0.90	-£744.82	-£1.81	-£1,856.97	-£4.51	£1,703.89	£4.14	-£2,813.30	-£6.83
Handsworth Primary School	414.00	£1,785,430.41	£4,312.63	-£351.11	-£0.85	-£708.56	-£1.71	-£1,766.57	-£4.27	£1,620.95	£3.92	-£2,676.35	-£6.46
Thorpe Hall Primary School	429.00	£2,017,589.23	£4,703.01	-£396.76	-£0.92	-£800.70	-£1.87	-£1,996.28	-£4.65	£1,831.72	£4.27	-£3,024.36	-£7.05
The Winns Primary School	728.00	£3,372,742.68	£4,632.89	-£663.25	-£0.91	-£1,338.50	-£1.84	-£3,337.12	-£4.58	£3,062.03	£4.21	-£5,055.73	-£6.94
Woodford Green Primary School	195.00	£916,465.51	£4,699.82	-£180.22	-£0.92	-£363.71	-£1.87	-£906.78	-£4.65	£832.04	£4.27	-£1,373.78	-£7.05
Oakhill Primary School	226.00	£1,033,695.19	£4,573.87	-£203.28	-£0.90	-£410.23	-£1.82	-£1,022.78	-£4.53	£938.47	£4.15	-£1,549.51	-£6.86
Henry Maynard Primary School	817.00	£3,595,679.28	£4,401.08	-£707.09	-£0.87	-£1,426.97	-£1.75	-£3,557.70	-£4.35	£3,264.43	£4.00	-£5,389.91	-£6.60
South Grove Primary School	408.00	£2,200,879.61	£5,394.31	-£432.80	-£1.06	-£873.44	-£2.14	-£2,177.63	-£5.34	£1,998.12	£4.90	-£3,299.11	-£8.09
Dawlish Primary School	188.00	£952,851.22	£5,068.36	-£187.38	-£1.00	-£378.15	-£2.01	-£942.79	-£5.01	£865.07	£4.60	-£1,428.32	-£7.60
Gwyn Jones Primary School	397.00	£1,767,352.12	£4,451.77	-£347.55	-£0.88	-£701.39	-£1.77	-£1,748.68	-£4.40	£1,604.53	£4.04	-£2,649.26	-£6.67
George Tomlinson Primary School	457.00	£2,137,130.84	£4,676.44	-£420.27	-£0.92	-£848.14	-£1.86	-£2,114.56	-£4.63	£1,940.25	£4.25	-£3,203.55	-£7.01
Mission Grove Primary School	742.00	£3,401,443.93	£4,584.16	-£668.90	-£0.90	-£1,349.89	-£1.82	-£3,365.51	-£4.54	£3,088.09	£4.16	-£5,098.75	-£6.87
Coppermill Primary School	249.00	£1,198,107.58	£4,811.68	-£235.61	-£0.95	-£475.48	-£1.91	-£1,185.45	-£4.76	£1,087.73	£4.37	-£1,795.96	-£7.21
Stoneydown Park School	495.00	£2,331,950.69	£4,711.01	-£458.58	-£0.93	-£925.45	-£1.87	-£2,307.32	-£4.66	£2,117.12	£4.28	-£3,495.59	-£7.06
Parkside Primary School	532.00	£2,501,429.47	£4,701.94	-£491.91	-£0.92	-£992.71	-£1.87	-£2,475.01	-£4.65	£2,270.99	£4.27	-£3,749.63	-£7.05
The Jenny Hammond Primary School	312.00	£1,469,716.43	£4,710.63	-£289.02	-£0.93	-£583.27	-£1.87	-£1,454.19	-£4.66	£1,334.32	£4.28	-£2,203.10	-£7.06
Ainslie Wood Primary School	406.00	£1,888,861.06	£4,652.37	-£371.45	-£0.91	-£749.61	-£1.85	-£1,868.91	-£4.60	£1,714.85	£4.22	-£2,831.40	-£6.97
Barn Croft Primary School	199.00	£1,010,804.32	£5,079.42	-£198.78	-£1.00	-£401.15	-£2.02	-£1,000.13	-£5.03	£917.68	£4.61	-£1,515.19	-£7.61
Chingford CofE Primary School	403.00	£1,772,672.05	£4,398.69	-£348.60	-£0.87	-£703.50	-£1.75	-£1,753.95	-£4.35	£1,609.36	£3.99	-£2,657.23	-£6.59
St Mary's Catholic Primary School	216.00	£965,030.05	£4,467.73	-£189.77	-£0.88	-£382.98	-£1.77	-£954.84	-£4.42	£876.13	£4.06	-£1,446.58	-£6.70
St Joseph's Catholic Junior School	207.00	£986,972.80	£4,767.98	-£194.09	-£0.94	-£391.69	-£1.89	-£976.55	-£4.72	£896.05	£4.33	-£1,479.47	-£7.15
St Joseph's Catholic Infant School	140.00	£752,575.67	£5,375.54	-£147.99	-£1.06	-£298.67	-£2.13	-£744.63	-£5.32	£683.24	£4.88	-£1,128.11	-£8.06
Our Lady and St George's Catholic Primary School	401.00	£1,843,422.71	£4,597.06	-£362.51	-£0.90	-£731.58	-£1.82	-£1,823.95	-£4.55	£1,673.60	£4.17	-£2,763.28	-£6.89
St Patrick's Catholic Primary School	409.00	£1,877,389.02	£4,590.19	-£369.19	-£0.90	-£745.06	-£1.82	-£1,857.56	-£4.54	£1,704.43	£4.17	-£2,814.20	-£6.88
Frederick Bremer School	867.00	£6,130,984.20	£7,071.49	-£1,205.66	-£1.39	-£2,433.13	-£2.81	-£6,066.22	-£7.00		£0.00	-£9,190.33	-£10.60
Heathcote School & Science College	912.00	£6,230,400.31	£6,831.58	-£1,225.21	-£1.34	-£2,472.59	-£2.71	-£6,164.59	-£6.76		£0.00	-£9,339.35	-£10.24
Willowfield School	872.00	£5,615,434.73	£6,439.72	-£1,104.28	-£1.27	-£2,228.53	-£2.56	-£5,556.12	-£6.37		£0.00	-£8,417.52	-£9.65
Leytonstone School	830.00	£5,151,637.65	£6,206.79	-£1,013.07	-£1.22	-£2,044.47	-£2.46	-£5,097.22	-£6.14		£0.00	-£7,722.29	-£9.30
Walthamstow School for Girls	894.00	£5,565,418.65	£6,225.30	-£1,094.44	-£1.22	-£2,208.68	-£2.47	-£5,506.63	-£6.16		£0.00	-£8,342.54	-£9.33
Kelmscott School	828.00	£5,399,173.79	£6,520.74	-£1,061.75	-£1.28	-£2,142.71	-£2.59	-£5,342.14	-£6.45		£0.00	-£8,093.34	-£9.77
Holy Family Catholic School	964.00	£5,894,645.76	£6,114.78	-£1,159.19	-£1.20	-£2,339.34	-£2.43	-£5,832.38	-£6.05		£0.00	-£8,836.05	-£9.17
Buxton School	1,392.00	£7,660,552.38	£5,503.27	-£1,506.45	-£1.08	-£3,040.15	-£2.18	-£7,579.63	-£5.45		£0.00	-£11,483.14	-£8.25
Lime Academy Larkwood	610.00	£2,958,794.25	£4,850.48	-£581.85	-£0.95	-£1,174.22	-£1.92	-£2,927.54	-£4.80	£2,686.22	£4.40	-£4,435.22	-£7.27
Yardley Primary School	444.00	£1,911,076.96	£4,304.23	-£375.81	-£0.85	-£758.43	-£1.71	-£1,890.89	-£4.26	£1,735.02	£3.91	-£2,864.70	-£6.45
Davies Lane Primary School	816.00	£3,565,017.39	£4,368.89	-£701.06	-£0.86	-£1,414.81	-£1.73	-£3,527.36	-£4.32	£3,236.59	£3.97	-£5,343.95	-£6.55
Hillyfield Primary Academy	1,234.00	£5,802,742.68	£4,702.38	-£1,141.11	-£0.92	-£2,302.87	-£1.87	-£5,741.45	-£4.65	£5,268.17	£4.27	-£8,698.29	-£7.05
Emmanuel Community School	190.00	£947,668.70	£4,987.73	-£186.36	-£0.98	-£376.09	-£1.98	-£937.66	-£4.94	£860.36	£4.53	-£1,420.55	-£7.48
Willow Brook Primary School Academy	508.00	£2,437,624.47	£4,798.47	-£479.36	-£0.94	-£967.39	-£1.90	-£2,411.88	-£4.75	£2,213.06	£4.36	-£3,653.99	-£7.19
The Woodside Primary Academy	990.00	£4,736,440.87	£4,784.28	-£931.42	-£0.94	-£1,879.70	-£1.90	-£4,686.41	-£4.73	£4,300.10	£4.34	-£7,099.91	-£7.17
Chapel End Junior Academy	339.00	£1,589,200.35	£4,687.91	-£312.52	-£0.92	-£630.69	-£1.86	-£1,572.41	-£4.64	£1,442.80	£4.26	-£2,382.21	-£7.03
Riverley Primary School	403.00	£2,089,768.21	£5,185.53	-£410.95	-£1.02	-£829.34	-£2.06	-£2,067.69	-£5.13	£1,897.25	£4.71	-£3,132.56	-£7.77
Sybourn Primary School	530.00	£2,488,083.79	£4,694.50	-£489.28	-£0.92	-£987.42	-£1.86	-£2,461.80	-£4.64	£2,258.87	£4.26	-£3,729.63	-£7.04
Thomas Gamuel Primary School	348.00	£1,695,303.28	£4,871.56	-£333.38	-£0.96	-£672.79	-£1.93	-£1,677.40	-£4.82	£1,539.12	£4.42	-£2,541.25	-£7.30
Walthamstow Primary Academy	108.50	£600,258.53	£5,532.34	-£118.04	-£1.09	-£238.22	-£2.20	-£593.92	-£5.47	£544.96	£5.02	-£899.79	-£8.29
Longshaw Primary School	317.00	£1,475,295.03	£4,653.93	-£290.12	-£0.92	-£585.48	-£1.85	-£1,459.71	-£4.60	£1,339.38	£4.23	-£2,211.46	-£6.98
Roger Ascham Primary School	428.00	£2,038,418.51	£4,762.66	-£400.86	-£0.94	-£808.96	-£1.89	-£2,016.89	-£4.71	£1,850.63	£4.32	-£3,055.58	-£7.14
Chingford Hall Primary School	328.00	£1,601,292.23	£4,881.99	-£314.90	-£0.96	-£635.49	-£1.94	-£1,584.38	-£4.83	£1,453.77	£4.43	-£2,400.33	-£7.32
Whittingham Primary Academy	395.00	£1,863,073.90	£4,716.64	-£366.37	-£0.93	-£739.38	-£1.87	-£1,843.39	-£4.67	£1,691.44	£4.28	-£2,792.74	-£7.07
Mayville Primary School	355.00	£1,697,514.10	£4,781.73	-£333.82	-£0.94	-£673.67	-£1.90	-£1,679.58	-£4.73	£1,541.13	£4.34	-£2,544.57	-£7.17
St Saviour's Church of England Primary School	335.00	£1,550,058.82	£4,627.04	-£304.82	-£0.91	-£615.15	-£1.84	-£1,533.69	-£4.58	£1,407.26	£4.20	-£2,323.53	-£6.94

INDICATIVE EXAMPLES OF TOP-SLICING SCHOOLS BUDGET															
School Name	NOR	20-21 Post MFG Budget (Model G1)	Budget £pp	0.02%	(Capped Falling Rolls Fund)	0.04%	(Current Falling Rolls Fund)	0.10%	(General Contingency?)	0.09%	(Primary Contingency?)	0.15%	(Proposed Transfer from Schools Block to High Needs Block /Approx 50% of Growth Fund Gap)		
				Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp	Top Slice £	Top Slice £ pp		
	38,130	£202,135,191	£5,301.28	£39,750		£80,219		£200,000		£100,000		£303,000			
St Mary's CofE Primary School	588.00	£2,474,860.85	£4,208.95	-£486.68	-£0.83	-£982.17	-£1.67	-£2,448.72	-£4.16	£2,246.86	£3.82	-£3,709.81	-£6.31		
Barclay Primary School	1,106.00	£5,077,802.18	£4,591.14	-£998.55	-£0.90	-£2,015.17	-£1.82	-£5,024.16	-£4.54	£4,610.01	£4.17	-£7,611.61	-£6.88		
Selwyn Primary School	571.00	£2,587,553.16	£4,531.62	-£508.84	-£0.89	-£1,026.89	-£1.80	-£2,560.22	-£4.48	£2,349.17	£4.11	-£3,878.73	-£6.79		
South Chingford Foundation School	526.00	£3,404,958.25	£6,473.30	-£669.59	-£1.27	-£1,351.29	-£2.57	-£3,368.99	-£6.40		£0.00	-£5,104.02	-£9.70		
Eden Girls' School Waltham Forest	538.00	£3,447,386.05	£6,407.78	-£677.93	-£1.26	-£1,368.12	-£2.54	-£3,410.97	-£6.34		£0.00	-£5,167.62	-£9.61		
Connaught School for Girls	627.00	£3,796,388.94	£6,054.85	-£746.56	-£1.19	-£1,506.63	-£2.40	-£3,756.29	-£5.99		£0.00	-£5,690.77	-£9.08		
Norlington School and 6th Form	599.00	£3,904,629.83	£6,518.58	-£767.85	-£1.28	-£1,549.58	-£2.59	-£3,863.38	-£6.45		£0.00	-£5,853.03	-£9.77		
Highams Park School	1,187.00	£6,860,576.37	£5,779.76	-£1,349.14	-£1.14	-£2,722.68	-£2.29	-£6,788.11	-£5.72		£0.00	-£10,283.98	-£8.66		
Chingford Foundation School	1,245.00	£7,354,944.02	£5,907.59	-£1,446.35	-£1.16	-£2,918.87	-£2.34	-£7,277.25	-£5.85		£0.00	-£11,025.04	-£8.86		
Walthamstow Academy	883.00	£5,629,177.15	£6,375.06	-£1,106.98	-£1.25	-£2,233.98	-£2.53	-£5,569.72	-£6.31		£0.00	-£8,438.12	-£9.56		
Lammas School and Sixth Form	664.00	£4,963,180.63	£7,474.67	-£976.01	-£1.47	-£1,969.68	-£2.97	-£4,910.75	-£7.40		£0.00	-£7,439.79	-£11.20		
George Mitchell School	866.00	£4,978,383.40	£5,748.71	-£979.00	-£1.13	-£1,975.71	-£2.28	-£4,925.80	-£5.69		£0.00	-£7,462.58	-£8.62		

Meeting / Date	SCHOOLS FORUM 13 November 2019	Agenda Item	5
Report Title	Growth Fund and Falling Rolls Fund 2020-21		
Decision/Discussion/ Information	For Discussion and Decision by all		
Report Author/ Contact details	<p>Duncan James-Pike, Strategic Finance Advisor, 020 8496 3502 duncan.james-pike@walthamforest.gov.uk 020 8496 3502</p> <p>Jerome Francis, Principal Accountant Education Finance jerome.francis@walthamforest.gov.uk 020 8496 6805</p>		

1. SUMMARY

- 1.1 This report sets out the indicative Growth Fund allocation for 2020-21 and proposes the continuation of the falling rolls fund.

2. RECOMMENDATIONS

2.1 Schools Forum to note:

- 2.1.1 The Growth Fund allocation for 2019-20 was £1.895 million. Census figures in both phases have dropped from October 2018 to October 2019, so without MSOA level data used by the DFE it is assumed that the minimum allocation will be received: £0.885 million for 2020-21 (i.e. the 2019-20 amount less 0.5% of the 2020-21 Schools Block Allocation).

- 2.1.2 Based on provisional census figures and 2019-20 AWPU figures the Growth Fund including the Falling Rolls fund using current criteria is estimated to cost £1.478 million in 2020-21.

- 2.1.2 The current Growth Fund reserve is £1.014 million.

2.2 Schools Forum to agree:

- 2.2.1 That Growth Fund allocation is retained centrally.
- 2.2.2 That if the cost of the Growth Fund scheme for 2020-21 is greater than the allocation for 2020-21 either:
- a) The Growth Fund scheme is honoured and the Schools Block top-sliced; **or**

- b) The Growth Fund scheme is honoured and the Growth Fund reserve is used; **or**
- c) Some combination of a) top-slice and b) reserves; **or**
- d) The Growth Fund scheme is scaled back to cost no more than the Growth Fund allocation.

2.2.3 That the falling rolls fund should be continued.

2.2.4 If so, that the additional criteria on capping following consecutive years of qualification should be introduced:

1st Year – No Capping

2nd Year - Capped at 5% of school's post-MFG Schools Block Allocation

3rd Year - Capped at 2% of school's post-MFG Schools Block Allocation

4th Year & Beyond – Cannot qualify for Falling Rolls Fund

2.2.5 To set aside from the Growth Fund (subject to affordability) or the Schools Block a maximum of £100,000 to support good schools with falling rolls.

3. REASON

- 3.1 Schools Forum decides the level of central spend and the criteria for allocating funding for significant pre-16 pupil growth, including new schools set up to meet basic need, whether maintained or academy.
- 3.2 Schools Forum decides on funding for good or outstanding schools with falling rolls where growth in pupil numbers is expected within three years.

4. BACKGROUND

4.1 Growth Funding in the National Funding Formula from 2019-20

- 4.1.1 Growth funding enables LAs to support schools with significant in-year pupil growth, which is not otherwise immediately recognised by the lagged funding system. LAs may also retain a small fund to support schools with temporarily falling rolls. LAs will continue to manage their growth funding locally in 2020-21 as they did in 2019-20.
- 4.1.2 In 2018-19 the DFE allocated £282m of growth funding nationally to LAs based on what they had planned to spend on growth and falling rolls in 2017-18, for Waltham Forest this was £3.2 million.

- 4.1.3 In 2019-20, the DFE introduced a formulaic approach to allocating growth funding to LAs based on the actual growth that LAs experience, rather than the amount they have historically chosen to spend. For Waltham Forest in 2019-20 this was £1.895 million.
- 4.1.4 Growth allocations for 2020-21 will be based on pupil data from the October 2019 census and the DFE will calculate actual growth allocations for 2020-21 after the October census and provide LAs with the details of their growth allocation as part of their Dedicated Schools Grant (DSG) allocation in December 2019.
- 4.1.5 Growth fund allocations will be based on the actual growth in pupil numbers LAs experienced the previous year. Growth will be measured at middle layer super output area (MSOA)¹ level as these are small enough geographical areas to detect ‘pockets’ of growth within LAs. The DFE will count the increase in pupil numbers in each MSOA in the LA between the two most recent October censuses. Only positive increases in pupil numbers will be included, so a LA with positive growth in one area, and negative growth in another, will not be denied growth funding.
- 4.1.6 The growth funding allocation that we receive is calculated by:
- £1,425 X ACA for each primary ‘growth’ pupil,
 - £2,130 X ACA for each secondary ‘growth’ pupil, and
 - £67,000 X ACA for each brand new school that opened in the previous year (that is, any school not appearing on the October 2018 census but appearing on the October 2019 census)
- 4.1.7 The DFE set these values by looking at the amount spent on growth across all LAs in 2018-19.
- 4.1.8 The DFE does not expect LAs to use these rates in their local arrangements for funding growth as growth funding is generally allocated locally for a smaller number of pupils (where additional pupils have required an additional class) and will use higher factor values. The growth factor in the national funding formula is a proxy for overall growth costs at LA level, and not at the level of individual schools.
- 4.1.9 The DFE does not anticipate that LAs’ spending on growth will necessarily match precisely the sum allocated to them for growth, and they will continue to have the ability to ‘top slice’ their overall schools block funding to fund pupil number growth.

- 4.1.10 In line with other elements of the national funding formula, the hybrid area cost adjustment (ACA) will be applied to growth allocations to reflect the variation in labour market costs across the country.
- 4.1.11 There is currently very wide variations in per pupil spend on growth and the DFE will therefore apply protection so that no local authority's growth allocation will fall by more than 0.5% of their overall 2020-21 schools block funding.
- 4.1.12 In order to make this affordable, the DFE will scale growth gains above a threshold so that LAs will gain in full up to a maximum increase of 50% compared to their 2018-19 growth allocation, with gains above this scaled by a factor of 50%.

4.2 Impact in Waltham Forest

- 4.2.1 In 2019-20, Waltham Forest received £1.895 million in Growth Funding. Protection. The National Funding Formula suggests that the minimum to be received for 2020-21 is £0.875 million as shown in the table below.

	£m
Growth Funding 2019-20	1.895
<i>Overall Schools Block funding 2019-20</i>	<i>202.146</i>
Maximum deduction 0.5% of Schools Block	1.01
Minimum Growth Funding expected 2020-21	0.885

- 4.2.2 In 2020-21, the addition of two further secondary bulges in September 2020 is negated by the maturation of 1 primary bulge and 1 permanent expansion. The Growth Fund summary from 2019-20 to 2022-23 is shown below:

FOUR YEAR SUMMARY	2019-20	2020-21	2021-22	2022-23
PRIMARY BULGES	1	0	0	0
PRIMARY PE'S	7	6	2	0
PRIMARY TOTAL	8	6	2	0
SECONDARY BULGES	1	3	4	2
SECONDARY PE'S	0	0	1	3
SECONDARY TOTAL	1	3	5	5
TOTAL	9	9	7	5
Cost (Provisional after 19-20)	£1.8M	£1.5M	£1.3M	£0.92M
Allocation	£1.9M	£0.885M		
Surplus/Deficit	£0.75M	£0.615M		

- 4.2.3 Whilst the year 7 cohort in the provisional October 2019 census is 2,910, down from 2,937 in October 2018, the applications LBWF have received to date for September 2020 places indicate a total cohort of 3,109 next year. This can be partially attributed to an increased retention rate from Year 6 to Year 7, with fewer pupils moving to Out of Borough Schools. This, without bulges, would give year 7 spare capacity of 2% across the borough, well below the recommended rate of 5%. This necessitates the three additional year 7 bulges in secondary in September 2020.

4.3 Falling Rolls Fund

- 4.3.1 LAs may set aside schools block funding to create a small fund to support good schools with falling rolls, where local planning data shows that the surplus places will be needed within the next three financial years.
- 4.3.2 Schools Forum should agree both the value of the fund and the criteria for allocation and the LA should regularly update Schools Forum on the use of the funding.
- 4.3.2 Criteria for allocating falling rolls funding should contain clear objective trigger points for qualification, and a clear formula for calculating allocations. Differences in allocation methodology are permitted between phases.
- 4.3.3 Compliant criteria would generally contain some of the features set out below:
- Support is available only for schools judged good or outstanding at their last Ofsted inspection (this is a mandatory requirement)
 - Surplus capacity exceeds a minimum number of pupils, or a percentage of the published admission number
 - Local planning data shows a requirement for a minimum percentage of the surplus places within the next three years
 - Formula funding available to the school will not support provision of an appropriate curriculum for the existing cohort
 - The school will need to make redundancies in order to contain spending within its formula budget
- 4.3.4 Methodologies for distributing funding could include:
- a rate per vacant place, up to a specified maximum number of places (place value likely to be based on AWPU)

- a lump sum payment with clear parameters for calculation (for example, the estimated cost of providing an appropriate curriculum, or estimated salary costs equivalent to the number of staff who would otherwise be made redundant)
- 4.3.5 Where falling rolls funding is payable to academies, the local authority should fund the increase for the period from the additional September intake through until the following August.
- 4.3.6 Local authorities should report any falling rolls funds remaining at the end of the financial year to the schools forum.
- 4.3.7 Funding may be carried forward to the following funding period, as with any other centrally retained budget, and local authorities can choose to use it specifically for falling rolls.

5. FALLING ROLLS PROPOSAL

- 5.1 A Falling Rolls Fund was established for 2019-20 to protect good schools who were experiencing large and sudden falls in their rolls. The Falling Rolls Fund supports schools that met the following criteria:
- Good or Outstanding
 - Numbers on Roll are less than 80% of total Planned Admission Number (PAN)
 - Numbers on Roll are more than 5% lower in the October 2019 census than the October 2018 census
 - School is a planning area where the vacant places are required
- 5.2 These criteria have been set to ensure that funding supports good and outstanding schools with falling rolls in planning areas where places are needed and the LA cannot not support reductions in PAN; and does not support schools that have vacant places as they have increased their PAN for reasons other than addressing basic need.
- 5.3 Schools will receive protection for the fall in numbers above the 5% threshold. This will be paid at the current AWPU rate. For example, a school that experienced a drop from of 6.5% will receive AWPU for 1.5% of its previous intake.
- 5.4 In 2019-20 there were two schools that qualified: George Tomlinson (£32,157 or 1.53% of their 2019-20 Schools block allocation) and St Joseph's Infants (£71,389 or 9.63% of their 2019-20 Schools block allocation).

- 5.5 If the falling rolls fund is kept with the same criteria there would be two schools that qualify for 2020-21. These are St Joseph's Infants and Sybourn Primary School. The amounts they would receive are shown below:

WITHOUT CAPPING

		Sybourn Primary School	St Joseph's Catholic Infants
A	Total School Capacity	630	180
B	Oct 2018 census	530	140
C	Oct 2019 census	503	116
D (C-B)	Fall in NOR	-27	-24
E (D/A)	Fall as % of NOR PY	-5.1%	-17.1%
F (E-5%)	Fall over 5%	-0.1%	-12.1%
G (F x A)	This amount over 5% of PAN	0.59	21.86
H	AWPU (2019-20 rate)	£3,573	£3,573
J (H x G)	Falling Rolls Fund Support	£2,124	£78,096
Total School Block as per G1 Model		£2,488,084	£752,576
Percentage of SB allocation G1 Model		0.09%	10.38%

Total

£80,219

- 5.5 The actual amount these schools would receive will differ slightly once the 2020-21 AWPU rate had been set.
- 5.6 Due to the lack of DFE guidance on all through schools, these will be treated as a single school.
- 5.7 As the purpose of the falling rolls fund is to support a school with a sudden drop in NOR, rather than a long-term drop in numbers, it is **proposed** to include the following criteria on capping the amount schools can receive from the Falling Rolls fund in consecutive years of meeting the criteria:

1st Year – No Capping

2nd Year - Capped at 5% of school's post-MFG Schools Block Allocation

3rd Year - Capped at 2% of school's post-MFG Schools Block Allocation

4th Year & Beyond – Cannot qualify for Falling Rolls Fund

- 5.8 If schools continue to face falling rolls for the second and third consecutive year funding for falling rolls will be capped at the levels above with the expectation that the school introduces measures to address the continuing drop in numbers.
- 5.9 If the above criteria were to be adopted the revised Fallings Rolls Fund estimate would be:
- 5.10 If the capping proposal is approved the total cost of the fallings rolls fund would be £39,752, subject to a slight revision for 2020-21 AWPU figures.

WITH CAPPING

		Sybourn Primary School	St Joseph's Catholic Infants
A	Total School Capacity	630	180
B	Oct 2018 census	530	140
C	Oct 2019 census	503	116
D (C-B)	Fall in NOR	-27	-24
E (D/A)	Fall as % of NOR PY	-5.1%	-17.1%
F (E-5%)	Fall over 5%	-0.1%	-12.1%
G (F x A)	This amount over 5% of PAN	0.59	21.86
H	AWPU (2019-20 rate)	£3,573	£3,573
J (H x G)	Falling Rolls Fund Support	£2,124	£37,629
Total School Block as per G1 Model		£2,488,084	£752,576
Percentage of SB allocation G1 Model		0.09%	5.00%

Total	£39,752
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Meeting / Date	SCHOOLS FORUM 13 November 2019	Agenda Item	6
Report Title	High Needs Block Update 2019-20, 2020-21 & Disapplication request		
Decision	For Information and Decision		
Report Author/ Contact details	David Kilgallon; Director of Learning and Systems Leadership David.Kilgallon@walthamforest.gov.uk 020 8496 3504 Raina Turner: Head of Education Finance raina.turner@walthamforest.gov.uk 020 8496 3520		
Appendices	Appendix A: HNB 2019-20 Income & Expenditure Summary Appendix B: Consultation document		

1. SUMMARY

- 1.1 The purpose of this report is to inform School Forum of updates on the High Needs block and to seek approval for the Special Schools minimum funding guarantee (MFG) disapplication request as part of the budget setting cycle for 2020-21.

2. RECOMMENDATIONS

2.1 Schools Forum **to note**:

- 2.1.1 The net in-year increase in funding to the High Needs block of £82,237 resulting in a gross HN allocation of £37.481 million.
- 2.1.2 The Financial Year 2019-20 projected outturn is £3.505 million higher than the budget allocated and that the cumulative High Needs deficit at the end of 2019-20 is forecast to be £5.241 million.
- 2.1.3 The largest items of expenditure in 2019-20 are top up payments: Special schools £9.788 million; Mainstream schools £7.765 million; and Special Resource Provisions £3.061million.
- 2.1.4 That an on-line consultation on the local funding formula will be held between 25 and 29 November and will include a question on a transfer of £303,000 from the Schools Block into the High Needs Block for 2020-21.
- 2.1.5 That a consultation on changes to the top-ups for banding levels in 2020-21 has begun and ends 2 December 2019. Results will be presented to the December Schools Forum.

2.2 Schools Forum **to approve**:

- 2.2.1 That the Local Authority submits a disapplication request to the DFE to allow the Local Authority to apply a -1.5% MFG to Special Schools Budgets for 2020-21, transferring £300,000 from special schools funding to the rest of the High Needs Block.

3 PROJECTED HIGH NEEDS BLOCK (HNB) INCOME AND EXPENDITURE FOR FINANCIAL YEAR 2019-20

- 3.1 The gross HNB allocation to the Waltham Forest for Financial Year 2019-20 is £37.481 million an increase of £82,237 from the original allocation.
- 3.2 The gross HNB allocation is adjusted for Academy and FE place funding recoupment which results in a net allocation of £28.888 million to the LA.
- 3.3 In addition, there is an annual transfer from the Early Years Block of £633,800 million for the Inclusion Fund. In 2019-20 an additional one-off sum of £161,500 was brought forward from the 2018-19 Inclusion Fund under spend. The total funding available to the LA is £29.683 million. Table 1 below summarises the HN funding.

TABLE 1 HN BLOCK DSG ALLOCATION 2019-20			
Description	Original Allocation 2019-20	Movement	Revised Allocation October 2019
	£	£	£
Baseline Funding from Education Funding Agency (EFA)	36,641,702	84,311	36,726,013
Additional Funding from ESFA	682,960	-270	682,690
Gross HN funding Waltham Forest (A)	37,324,662	84,041	37,408,703
Deductions for Place funding Academy and FE institution	-8,585,833	-7,800	-8,593,633
Total HNB Allocation Net of Recoupment	28,738,829	76,241	28,815,070
6th Form Grant April to August 2019	66,671	5,996	72,667
Net High Needs Funding Received by LA (B)	28,805,500	82,237	28,887,737
Early Years 2019-20 transfer for Inclusion Fund	633,800		633,800
Early Years b/f underspend from 2018-19 transfer	161,500		161,500
Total Early Years Transfer 2019-20 (C)	795,300	-	795,300
TOTAL FUNDING MANAGED BY LA	29,600,800	82,237	29,683,037

- 3.4 The LA allocation is used to support place funding for maintained schools, SRPs and AP provisions; top up funding for all settings; contracts for SEND Success and Home Hospital tuition; support services; Independent and Non maintained special schools; and Early Intervention work. For 2019-20 the forecast expenditure is £33.188 million a funding gap of £3.505 million, which represents 11% of the LA allocation. See Appendix A for a summary of the main areas.
- 3.5 The main area of LA spend is on top-up payments; Special schools £9.788 million; Mainstream schools £7.765 million; and Special Resource Provisions £3.061million. There has been growth in Further Education colleges and

spend is forecast at £1.79 million. FE numbers are expected to rise from 161 in 2018-19 to 194 in 2019-20.

- 3.6 The LA has been consulting with partners with a view to identifying the most equitable way to address the HN pressures with the aim of bringing spending back in line with the funding available from the EFSA and from inter block transfers. For 2020-21 the LA has proposals to address part of the gap as set out in paragraph 4.3 and section 5 below. A consultation began on 5 November on two models for changing the EHCP bandings. The consultation ends 2 December 2019. For the consultation document see Appendix B. The results of the consultation responses will be reported to December Schools Forum.

4 2020-21 HIGH NEEDS ALLOCATION OCTOBER UPDATE

- 4.1 On 18 October the ESFA announced the provisional High Needs DSG gross allocation to Waltham Forest for Financial Year 2020-21 as £42.338 million an increase of £4.865 million (13%) from 2019-20 funding of £37.409million.
- 4.2 The additional funding is welcome and will help in addressing the growth in top up payments that has arisen in 2019-20 however it will be insufficient to support any future growth in EHCP in 2020-21. Estimates for EHCP growth could remain at the 2019 level of 11%. Table 2 illustrates the continued shortfall in allocation against expenditure.

Table 2: Projected funding gap 2020-21

	£ million
Projected Spend 2019-20 Rolled Forward	40.750
Add Growth	3.961
Add Better Quality Alternative Provision	0.400
Less Full Year Effect of Contract Efficiencies	-0.103
Projected Spend 2020-21	45.008
High Needs Allocation from the DFE	-42.338
FUNDING GAP 2020-21	2.670

- 4.3 An option to support growth is to transfer funding in accordance with the school funding regulations from the Schools Block into the High Needs block. The current proposal is to make a transfer of 0.15 %, £303,000, from the Schools Block into the High Needs block. This is intended to support the 'Inclusive' approach taken by the borough and its schools, based on the premise that 'ALL' schools have a shared ownership for SEND pupils across the borough, regardless of how many are in any one specific school.
- 4.4 An on-line consultation on the local funding formula will be held between 25 and 29 November and will include a question on a transfer of £303,000 from the Schools Block into the High Needs Block for 2020-21.

5 EXEMPTION TO SPECIAL SCHOOLS MFG VIA THE DISAPPLICATION PROCESS

- 5.1 The High Needs 2020-21 Operational guide issued October 2019 amended the Special Schools MFG from -1.5% to 0.0 %. However, the LA can seek an exemption where there are changes to funding and the LA is proposing to fund below the guaranteed level.
- 5.2 The LA will apply for a disapplication request to the ESFA to allow Special Schools to contribute up to £300,000 in 2020-21 for in-year pressures. This is a one-off request for 2020-21 currently. The contributions are estimated as follows:
- Whitefield Academy £137,200
 - Hornbeam Trust £ 99,200
 - Joseph Clarke £ 37,500
 - Belmont Park £ 25,800
- 5.3 The LA believes that asking for this contribution is fair and can be managed with no impact on pupil outcomes due to the “healthy” level of reserve funding available within our special school sector.
- 5.4 The LA is asking Schools Forum to support the disapplication request.

APPENDIX A 2019-20 SUMMARY OF HIGH NEEDS BLOCK DSG OCTOBER 2019

Description	Forecast to Year End	Expenditure sub totals
	£	
Baseline Funding from Education Funding Agency (ESFA)	36,726,013	
Additional Funding from ESFA	682,690	
6th Form Grant	72,667	
Gross Allocation	37,481,370	
Deductions for Academy and FE places	-8,593,633	
Total net HNB Allocation	28,887,737	
6th Form Grant		
High Needs Funding Received by LA	28,887,737	
EXPENDITURE		
TOP UP FUNDING		
Special Schools	9,788,678	
Special Resource Provisions	3,061,457	
Maintream Schools	7,764,768	
Post 16 Provision	1,790,110	
Hawkswood PRUs Top Up funding	168,526	
TOTAL TOP UP FUNDING		22,573,539
PLACE FUNDING		
Belmont Park	570,000	
Hawkswood PRUs	860,000	
Forest Pathways / Year 11 arrivals	1,228,400	
Alternative Provision via Hawkswood	1,150,000	
South Chingford	41,667	
Belmont Park	100,000	
TOTAL PLACE FUNDING		3,950,067
EARLY INTERVENTION SUPPORT/NON STATUTORY DISCRETIONARY		
Specialised Equipment/Levels A, C & 4d	13,033	
		13,033
INDEPENDENT & NON MAINTAINED SPECIAL SCHOOLS		
Independent School Fees & Non Maintained Special Schools	2,013,976	
Other LA Schools Top Ups	901,024	
Other costs e.g. Tuition contributions	623,660	
Speech and Language Therapy Service	140,000	
TOTAL INDEPENDENT & NON MAINTAINED SPECIAL SCHOOLS		3,678,660
SUPPORT SERVICES		
Home Hospital -Hornbeam Academy Trust	311,250	
HN Outreach Support Services -Whitefield Academy	785,830	
Disability Enablement Service contribution to DES team of 30 FTE	610,000	
BACME (Social Inclusion)	330,000	
FAP Payments to schools for admitting excluded pupils	71,600	
TOTAL SUPPPORT SERVICES		2,108,680
Expenditure on HN excluding Early Years Inclusion Fund areas	32,323,978	32,323,978
Excess of expenditure over allocation	-3,436,242	
EARLY YEARS BLOCK TRANSFER FUNDING		
Transfer from the Early Years Block 2019-20	633,800	
Transfer from the Early Years Block 2018-19 underspend	161,500	
TOTAL EARLY YEARS FUNDING	795,300	
SENIF panel applications (pre reception age children not on an EHCP only)	185,038	
PVI Nursery Top Ups Pre reception age	299,441	
Mainstream Schools Top up Pre reception age	188,386	
Special Schools Early Years top up funding (pre reception age)	142,488	
SRP top up Pre reception age	10,501	
Correction for change in methodology summer Term	38,500	
Expenditure Totals	864,355	
Early Years Deficit	-69,055	
Total In Year Deficit	-3,505,297	
Deficit Brought Forward from 2018-19	-1,735,760	
Estimated Deficit Carried Forward to 2020-21	-5,241,057	

FURTHER CONSULTATION ON ALLOCATION OF HIGH NEEDS BUDGET (HNB) FUNDING 2020-21

BACKGROUND AND INTRODUCTION

The High Needs Block (HNB) is the funding provided by the government for the Local Authority (LA) to support pupils with Special Educational Needs and Disability (SEND), Alternative Provision (AP) and a range of SEND services. Waltham Forest expects to receive approximately £42 million in HNB funding for the year 2020-21. This year, there is currently a projected in-year deficit (i.e. overspend) of £3.5 million which is likely to increase further by the end of March 2020. The current level of budget pressure on SEND provision is not sustainable in the long term. The Council is therefore seeking to find efficiencies across the education service to achieve the highest possible savings whilst still ensuring that we fulfil our legal obligations.

THE FINANCIAL SITUATION

Expenditure on High Needs in this financial year (2019-20) is projected to total £40.75 million. Growth in the number of pupils needing SEND support is expected to continue to rise by approximately 10%, which together with investment to improve Alternative Provision (AP) and the benefit from the contract improvements in SEND Success and Home Hospital Tuition gives an estimated expenditure of £45 million in 2020-21.

The High Needs Block allocation to Waltham Forest for Financial Year (FY) 2020-21 (next year) is £42.38 million an increase of £4.94 million (13%) on the allocation for 2019-20 (this year). This leaves a funding gap of £2.670 million for 2020-21.

	£ million
Projected Spend 2019-20 Rolled Forward	40.750
Add Growth	3.961
Add Better Quality Alternative Provision	0.400
Less Full Year Effect of Contract Efficiencies	-0.103
Projected Spend 2020-21	45.008
High Needs Allocation from the DFE	-42.338
FUNDING GAP 2020-21	2.670

The current pressures and rates of funding mean that there is a forecast accumulated underfunding of £5.3 million by 31 March 2020 comprising the £3.5 million funding gap in 2019-20 and £1.8 million from previous years.

The deficit is being driven by the significant increase in the numbers of young people needing additional support, including raising the age of those supported to 25, along with previous decisions about the level of funding required to meet the needs identified at specific levels that were unrealistic and unsustainable in the longer term. Current figures show that Waltham Forest 'Level 1' funding is over two times higher than the average of other neighbouring Local authorities: £3,800, (Islington LA currently funds 'Level 1' at £1,175, whilst Hackney LA currently funds at £4,895).

Our bandings mentioned above are historic figures, selected many years ago, that we believe do not reflect the current Educational climate, and do not reflect the content of our current Education, Health and Care Plans (EHCPs).

An accumulated deficit of £5.3 million is at risk of breaching the ESFA's threshold of 1% of the total Dedicated Schools Grant (DSG) and the Local Authority would be required to report to the ESFA on its plans for bringing the DSG back into balance.

In preparation for this requirement the Local Authority set about addressing and consulting upon its plans for allocating High Needs funding in 2020-21, with the aim of setting a balanced budget and aiming to ensure that the projected accumulated balance is not increased further.

CONSULTATION ON HNB FUNDING TO DATE

There is a statutory requirement for the Council to consult with Schools Forum when making important decisions around schools and funding. Schools Forum refreshed the membership and terms of reference of the Inclusion Group which was set up in December 2018 with the aim of identifying proposals to allocate HNB funding for the financial year 2020-21.

Further officer meetings took place in May 2019 following the work of the Inclusion Group to identify suitable proposals to take forward. A consultation then took place during the summer. Part of this was an online survey, which was undertaken to capture direct responses to the options presented to make changes to current bandings in the 'top-up' funding element of the HNB applied to children with EHCPs. In addition, as part of the consultation process, meetings were carried out with school teachers and leaders, parent groups including the SEND Parent Forum and school governors.

Following the conclusion of the consultation in July, the Council decided to delay taking a decision on that proposal so that further engagement could be carried out with a working party to respond to concerns raised through the consultation process, consider other options that might be taken instead, and because of the prospect that central government would increase funding in this area.

Further engagement meetings were held between September and October 2019 with representatives from schools, the Waltham Forest Parent Partnership group and the SEND Crisis network. Council officers carried out extensive research into the approaches of other Local Authorities addressing the same issues to identify areas of good practice and carried out sample research around the provision for children receiving 'E band' funding. A meeting was organised with the DfE officer for SEND to provide more clarity around the national picture and offer further guidance. Additional funding has now been confirmed from central government and the LA has been notified of the level of this additional funding.

There is still a budget deficit and a need for the Council to consider making changes to the banding model in order to bring about the aim that a balanced budget in HNB is achieved for 2020-2021. Due to the changes in government funding, and our engagement meetings with stakeholders, we no longer propose to continue with the changes to the current banding model that we consulted upon in May. The way in which we now propose this is achieved is through a combination of transfers from schools' budgets into the High Needs budget, and smaller changes to the current banding system being applied. Schools Forum will be consulted on the proposed transfers.

Schools, parents and carers, and stakeholders are now invited to respond to the revised proposal to change the bandings applied through an online survey between 4 November 2019 and 2 December 2019. The outcome of the consultation will be reported to Schools Forum in December and will be presented to Cabinet who will take the decision as to what changes, if any, are made in January 2020.

All partners involved in the engagement work to date have indicated that they wish to see additional funding allocated from central government. The Council has always been and remains very supportive

of this approach and instigated a series of requests/engagements with the Government on behalf of the community of Waltham Forest and is committed to continuing to pursue the issue of additional funding on an ongoing basis.

As indicated above, the Council will consult Schools Forum upon the following proposed transfers:

A Transfer from the Schools budget for all schools to the High Needs Budget of 0.15%

It is proposed that Schools Forum should agree to a request for a 0.15% transfer from the Schools Block to the High Needs Block. This is intended to support the 'Inclusive' approach taken by the borough and its schools, based on the premise that 'ALL' schools have a shared ownership for SEND pupils across the borough, regardless of how many are in any one specific school.

A Transfer of 1.5% of Special Schools funding to the rest of the High Needs Budget.

It is proposed that Special Schools and Schools Forum should agree to a request for a 1.5% transfer from Special Schools funding to the rest of the High Needs Block. We believe that asking for this contribution is fair and can be managed with no impact on pupil outcomes due to the "healthy" level of 'reserve' funding available within our special school sector.

We are asking for your views on the proposed changes to the funding bands for EHCPs.

The current banding system is complex and there are numerous levels within each banding. It is not possible to present as part of this consultation the full range of financial bandings since that runs into several pages. We have therefore selected, in order to demonstrate in as clear a way as we can the impact of the proposals set out below in model A and model B, the lower thresholds for bands 'E' to 'I' so that you can see the current funding for those lowest thresholds in those bands.

We have then under the models below presented the proposals with reference to how they compare with these current bandings. Under model A the current 'E' to 'I' bands are replaced with four levels instead of five, and in that model both primary and secondary schools receive the same levels of funding. Under model B it is only bands E and F that are affected where are proposed to be reduced by 10% but all of the other bands, i.e. levels G, H and I remain the same without any reductions in those levels.

Summary of our current "Top Up" banding thresholds at the lowest points:

	Level E	Level F	Level G	Level H	Level I
Primary Mainstream	£8,427	£15,177	£17,927	£21,677	£43,427
Primary SRP	£8,000	£14,750	£17,500	£21,250	£43,000
All through Mainstream	£7,137	£13,887	£16,637	£20,387	£42,137
Secondary Mainstream	£7,137	£13,887	£16,637	£20,387	£42,137
Secondary SRP	£8,000	£14,750	£17,500	£21,250	£43,000

The Council proposes to make changes to the funding bands for EHCPs in order to achieve the objective of a balanced budget in one of the following two ways set out in the two models below. Please note that in both models the term 'banding' will be replaced by the term 'resource ladder' but mean the same thing.

Please also note: Element funding refers to the three elements of funding that schools receive to support young people with an EHCP. Element 1 relates to the age weighted pupil unit. Element 2 relates to the notional SEND funding. Element 3 relates to the ‘top up’ funding allocated by the Local Authority.

The two specific proposals that are being put forward relate to the funding for the ‘banding system’ (i.e. element 3.) It is, we believe, possible for Waltham Forest’s schools to absorb the changes proposed in these levels, without reducing or putting at risk the special educational provision of individual children. Both proposals have been examined through the sampling of EHCPs chosen at random from the current population. The factors considered when assessing that these two options would not reduce or put at risk provision of individual children are summarised in the following points:

One issue to consider when proposing any reduction to the ‘top up’ funding (element 3), is that schools have considerable operational flexibility in their daily use of resources in making the appropriate provision for pupils in their school. This could be provision in a typical classroom setting or may be based on practice that encompasses the wider, whole school provision. The provision made for a pupil with an EHCP in a mainstream school is not made in isolation from the rest of the staff or school, where staffing levels and resources are regularly altered dependent on need. In this context, we believe that the proposed reduction in funding for a pupil over the course of a year is something that is appropriate and manageable.

It is important to remember that the special educational provision for individual children is not just through the ‘top up’ funding. **Any reductions proposed in either model would only be to this element 3 funding. Elements 1 and 2 would remain unchanged for individual children.**

It is our view that the proposed reductions would be manageable for schools because it would lead to a relatively small percentage reduction in the overall budget for individual schools. An analysis of the figures for every school in the borough led us to believe that in most cases the reductions were in the region of a few thousand pounds per school. This is in the context of overall budgets of a few million pounds for each school. Very roughly then, the impact on the total Primary school budget was 0.46%. For the total Secondary school budget the figure was 0.2%.

We are seeking your views in respect of the following two options being considered:

MODEL A – This model proposes that we implement a new, simplified ‘Resource Ladder’ for existing EHCPs. The new Ladder would be similar in design to the existing one, with a layered approach within each banding that enables increasing the funding allocated to meet increased levels of need. However, in this simplified version, the Primary and Secondary banding levels are brought together which removes the current differences between the EHCP additional funding in those schools. The number of levels would be four and not five as is currently the case. The proposed funding amounts in this model we believe more closely reflect the exact levels of provision required to meet the needs identified within the EHCPs that have been reviewed. This has been supported by our review of a sample of current EHCP provision.

Under this model it is anticipated that young people in mainstream settings and Special Resource Provisions (SRPs) currently in receipt of ‘top-up’ funding would be reviewed as soon as any decision has been taken by the Council to adopt the change and will be assimilated onto this new Ladder by 1 April 2020. The new funding levels would also be used for any EHCPs completed after 1 April 2020 until any further changes were made to the Resource Ladder.

The proposed ladder under this model showing the lowest points is as follows:

Level 1 (currently known as Band E)	£5,000
Level 2 (currently known as Band F and G)	£15,000
Level 3 (currently known as Band H)	£21,000
Level 4 (currently known as Band I)	£43,000

MODEL B – This model proposes that we introduce a Resource Ladder that replaces the existing banding system and retains differentials between primary and secondary levels, but which makes a reduction of 10% in the current funding bands for existing EHCPs at **E and F level only**. This would only affect those allocated these levels of support in existing EHCPs in mainstream schools. The number of children on E and F levels is 1,374 out of a total of 1,643 EHCPs.

Under this model the funding levels for EHCPs in School Resourced Provision (SRP) would not be included in these changes. This is because the funding for these EHCPs is used to help to deliver a specialist unit, with all of the associated additional costs, and therefore have far less opportunity to deliver efficiencies.

Under this proposal, current bands G, H and I set out in our current table above would remain at their current funding levels.

The proposed model making changes to levels E and F is as follows (this ladder shows both the current lowest thresholds and proposed lowest thresholds for levels E and F):

	Current funding allocation	Proposed funding allocation
Primary Level E	£8,427	£7,854
Primary Level F	£15,177	£13,659
Secondary Level E	£7,137	£6,423
Secondary Level F	£13,887	£12,498

Under this proposal the changes would be introduced from 1 April 2020 for all existing EHCPs at level E and F in our mainstream schools. The new funding levels would also be used for any EHCPs completed after 1 April 2020 and would apply until any further changes were made to the Resource Ladder.

As part of these proposals the LA has carried out sampling exercises across a range of existing EHCPs. This evidence has been fed back during the consultation workshops.

Following the extensive consultation process, and the additional research, the LA believes that both of these proposals would enable Waltham Forest schools to absorb the reductions in a way that would not have a negative impact on the provision for young people with an EHCP. The preferred option for the LA is MODEL B. This is because the level of the reduction for each individual EHCP is lower.

Other proposals put forward through the consultation process were:

- That the budget deficit should be met through the use of Council reserves. This is not a preferred option because Council reserves are for the use in emergencies rather than for funding of service provision.
- Allocating funding from alternative Council budgets. This is not a preferred option because all budget lines within the Council are under some form of budgetary pressure.
- Reduction in funding for the Council's central SEND Service budget they receive from HNB and that the service look to absorb this reduction through service changes being made. Whilst this is not an option being consulted upon, we will look at how we can make this service the most efficient in terms of its use of resources and the allocation from the HNB this service receives.
- Reduction of the funding allocated to support the BACME (Behaviour, Attendance and Children Missing Education) Service from the HNB allocation. Whilst this is not an option being consulted upon, we will look at what changes we can make to this service and identify if any reductions from the allocation from the HNB this service receives are viable.

Although the above proposals are not being consulted upon (because these are not the preferred options for the reasons outlined) we will ensure that Cabinet are informed of these options when they make their decision.

We also want to inform you of other steps we propose to take to improve the way we arrange alternative provision and evaluation of the resource ladder.

1 - Alternative Provision – Forest Pathways

This current provision is particularly expensive, (when compared to other provisions). It is proposed to facilitate other arrangements through commissioning a new provider which combines Year 11 with a similar provision for Years 12 and 13. This would have the advantage of providing opportunities for current Year 11s to engage in mainstream learning opportunities from a much earlier stage, thereby enhancing their life chances considerably. Our proposal is to commission this new service from George Monoux College.

2 - SEND Success Outreach Contract and Home Hospital Contracts

The Director of Learning in negotiations with the Whitefield and Hornbeam special academy trusts through their commissioning groups. Whitefield (SEND Success), and Hornbeam (Home Hospital), have both agreed to provide an amended service offer which protects the quality of the current service delivery, but represents far better value for money for the HNB.

3 – Creation of a new 'Resource Ladder Working Group'.

The current system of allocating funding through the Education Health Care Plans (EHCPs) is in need of a comprehensive review since it is based on data, practice and contextual statistics from nearly ten years ago. The proposal is to establish a working group now to complete a system-wide evaluation of processes. This would encompass review of protocols, pathways and the systems for banding/resource levels. The group will be comprised of membership to ensure that the needs and voices of children, young adults and their parents/carers are considered with regards to any changes to the current system; in accordance with HNB principles; and in line with SEND code of practice. This group will be tasked with identifying any further changes needed to the Resource

Ladder to establish a fit for purpose system which, subject to any consultation obligations and decision making, is intended would be implemented from September 2020.

FAQs

Q. How many young people in Waltham Forest have an existing EHCP? And on what levels?

A. 1,643. E – 439, F - 935

Q. How many young people are there in our special schools?

A. 785 (includes some out of Borough)

Q. When were the current banding levels agreed?

A. 2013/14

Q. Why are there so many different banding levels?

A. This is based on historical decisions made by Schools Forum in previous meetings.

Q. How do we compare with other LAs?

A. When comparing Waltham Forest with our local neighbours, and when looking at National figures, it is clear that WF currently allocates a far higher level of 'top up' funding within EHCPs.

Q. How do the proposed changes to the bandings affect my child's EHCP? If they are going to get less money this will mean their needs will not be met.

A. The proposed changes under model A will affect the funding applied to all children's EHCPs from 1 April 2020. The level of funding provided to schools to meet individual children's special educational needs is made up from different elements from the High Needs Budget that is provided to schools. Under model A it is anticipated that reviews would commence as soon as the decision is made which provides the opportunity for the local authority to consider what the right Resource Level is for your child.

The proposed changes under model B will affect the funding level applied to children only where their banding is currently at Level E and F. The number of children on E and F levels is 1,374 out of a total of 1,643 EHCPs. As indicated the level of funding provided to schools to meet individual children's special educational needs is made up from different elements from the High Needs Budget that is provided to schools. If this model is agreed, then the changes will apply to all children in mainstream schools on the current levels E and F from April. A child can be moved to a higher band and can have individual items of provision funded separately from the Resource Level funding where this is thought appropriate. Schools may raise issues when they think a resource level needs to change for a child as can parents and carers. There is a legal obligation to meet assessed needs.

In both of these options the Council is making changes in order to meet the aim of setting a balanced budget. This is not the same as setting a capped budget over which no further funding would be available for individual children should they need it.

CONSULTATION DETAILS

Schools, parents and carers and stakeholders are asked to comment on the proposals contained within this document through completion of the online survey or by sending responses to EducationFinance@walthamforest.gov.uk by 5pm on 2 December 2019.

Click [here](#) to complete the survey

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Consultation Survey Questions

1. Do you think that bands should continue to be different for primary and secondary schools?
Yes or no?
2. If we adopt option A (same banding for primary and secondary schools), do you agree with the proposed bands? Yes or No? If no, why?
3. If we adopt option B (different banding for primary and secondary schools), do you agree with the proposed bands? Yes or No? If no, why?
4. Do you have any other comments on our proposals for EHCP banding?